# STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION



#### **DIVISION 9**

### **CONTRACT PROPOSAL**

WBS: 9CR.20291.9

**ROUTES:** Old Lexington Road (SR 1706) COUNTY: Davidson

DESCRIPTION: RESURFACING ON OLD LEXINGTON RD. (SR 1706) IN DAVIDSON

**COUNTY** 

BID OPENING: TO BE OPENED at 10:00 AM ON October 5, 2011 DIVISION OFFICE

375 Silas Creek Parkway, Winston-Salem, NC 27127

NOTICE:

ALL BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS \$30,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD.

ALL BIDDERS MUST BE PREQUALIFIED AS A "BIDDER" or "SUBCONTRACTOR" WITH NCDOT PRIOR TO BID OPENING.

NAME OF BIDDER	N.C. CONTRACTOR'S LICENSE NUMBER

ADDRESS OF BIDDER

**RETURN BIDS TO:** 

J. Brett Abernathy, PE, PLS
Division 9 Project Manager
N. C. Department of Transportation
375 Silas Creek Parkway
Winston-Salem, North Carolina 27127

If you have any questions concerning this contract, contact Mark Crook, PE at (336) 249-7001.

#### PROPOSAL FORM FOR THE CONSTRUCTION OF PROJECT NO. 9CR.20291.9

#### IN DAVIDSON COUNTY NORTH CAROLINA

DATE: June 15, 2011

#### DEPARTMENT OF TRANSPORTATION, RALEIGH NORTH CAROLINA

The Bidder has carefully examined the location of the proposed work; has carefully examined the plans and specifications, which are acknowledged to be part of the proposal, the special provisions, the proposal, the form of contract, and the forms of contract payment bond and contract performance bond; and thoroughly understands the stipulations, requirements and provisions. The undersigned bidder agrees to be bound upon his execution of the bid and subsequent award to him by the Department of Transportation in accordance with this proposal to provide the necessary contract payment bond and contract performance bond within fourteen days after the written notice of award is received by him. The undersigned Bidder further agrees to provide all necessary machinery, tools, labor, and other means of construction and to do all the work and to furnish all materials, except as otherwise noted, necessary to perform and complete the said contract in accordance with the 2006 Standard Specifications for Roads and Structures by the date(s) specified in the Project Special Provisions and in accordance with the requirements of the Engineer, and at the unit or lump sum prices, as the case may be, for the various items given on the sheets contained herein.

The published volume entitled "North Carolina Department of Transportation, Raleigh, Standard Specifications for Roads and Structures, July 2006" with all amendments and supplements thereto, is by reference incorporated into and made a part of this contract; that, except as herein modified, all the Construction and work included in this contract is to be done in accordance with the specifications contained in said volume, the provided plans, and amendments and supplements thereto, under the direction of the Engineer.

The quantities shown in the itemized proposal for the project are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the quantity of any item of portion of the work as may be deemed necessary or expedient. And increase or decrease in the quantity of any item will not be regarded as sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided for in the contract.

### **TABLE OF CONTENTS**

INSTRUCTIONS TO BIDDERS	4
STANDARD PROVISIONS	5
GENERAL	5
CONTRACT TIME AND LIQUIDATED DAMAGES	
INTERMEDIATE CONTRACT TIME AND LIQUIDATED DAMAGES	
PROSECUTION OF WORK:	
RAILROAD GRADE CROSSING	6
CONTRACT PAYMENT AND PERFORMANCE BOND	6
<u>LIABILITY INSURANCE</u>	
WORKERS' COMPENSATION INSURANCE	7
MINORITY BUSINESS ENTERPRISE AND WOMEN BUSINESS ENTERPRISE:	
PROGRESS SCHEDULE	
OUTSOURCING OUTSIDE THE USA	
ACT OF GOD.	17
GIFTS FROM VENDORS AND CONTRACTORS:	
MINIMUM WAGES:	
STANDARD SPECIAL PROVISION ERRATA	
PROJECT SPECIAL PROVISIONS	
FINAL ACCEPTANCE AND FOURTEEN DAY OBSERVATION PERIOD:	
CONSTRUCTION SEQUENCE:	
SAFETY VESTS AND FLAGGERS	
SAFETY EDGE	
MAJOR CONTRACT ITEMS:	
ASPHALT PAVEMENTS - SUPERPAVE:	
ASPHALT BINDER CONTENT OF ASPHALT PLANT MIXES	
PAVING INTERSECTIONS, DRIVEWAYS, AND MAILBOX TURNOUTS	
PATCHING EXISTING PAVEMENT:	
ADJUSTMENT OF MANHOLES, METER BOXES, AND VALVE BOXES	
ASPHALT CONCRETE SURFACE COURSE COMPACTION:	
PAVEMENT WIDTH VARIES:	
AGGREGATE PRODUCTION:	
GLASS BEADS:	
PAVEMENT MARKING LINES:	
TRAFFIC CONTROL:	
WORK ZONE TRAFFIC CONTROL	
WORK ZONE SIGNING:	41
TIME LIMITATION FOR PAVEMENT MARKINGS AND	
MARKERS ON NEWLY RESURFACED AREAS:	
ROADWAY STANDARD DRAWINGS FOR PAVEMENT MARKINGS AND MARKERS:	
SHOULDER RECONSTRUCTION PER SHOULDER MILE	
INCIDENTAL STONE BASE	
MISCELLANEOUS.	
PRICE ADJUSTMENT - ASPHALT BINDER FOR PLANT MIX	
STANDARD SPECIAL PROVISION	
AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS	
REQUESTS FOR QUOTATIONS WERE SENT TO THE FOLLOWING:	46
EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND	
GIFT BAN CERTIFICATIONAttacl	
LISTING OF MB & WB SUBCONTRACTORS	
FORM DBE-IS	
SUBSTITUTE FORM W9 Attac LETTER OF INTENT TO PERFORM AS A SUBCONTRACTOR Attac	
SUBCONTRACTOR APPROVAL FORM (SAF)	
MOVING OPERATION CARAVAN Attac	
DID FORM	

#### INSTRUCTIONS TO BIDDERS

### PLEASE READ ALL INSTRUCTIONS CAREFULLY BEFORE PREPARING AND SUBMITTING YOUR BID.

All bids shall be prepared and submitted in accordance with the following requirements. Failure to comply with any requirement shall cause the bid to be considered irregular and shall be grounds for rejection of the bid. NO BID BONDS REQUIRED.

- 1. The bid sheet furnished by NCDOT with the proposal shall be used and shall not be altered in any manner.
- 2. All entries on the bid sheet, including signatures, shall be written in ink.
- 3. The Bidder shall submit a unit price for every item on the bid form. The unit prices for the various contract items shall be written in figures.
- **4.** An amount bid shall be entered on the bid sheet for every item. The amount bid for each item shall be determined by multiplying each unit bid by the quantity for that item, and shall be written in figures in the "Amount Bid" column of the sheet.
- 5. The total amount bid shall be written in figures in the proper place on the bid sheet. The total amount shall be determined by adding the amounts bid for each item.
- **6.** Changes in any entry shall be made by marking through the entry in ink and making the correct entry adjacent thereto in ink. A representative of the Bidder shall initial the change in ink. Do NOT use white-out.
- 7. The bid shall be properly executed. All bids shall show the following information:
  - a. Name of individual, firm, corporation, partnership, or joint venture submitting bid.
  - b. Name of individual or representative submitting bid and position or title.
  - c. Name, signature, and position or title of witness.
  - d. Federal Identification Number
  - e. Contractor's License Number
- **8.** Bids submitted by corporations shall bear the seal of the corporation.
- 9. The bid shall not contain any unauthorized additions, deletions, or conditional bids.
- 10. The bidder shall not add any provision reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.
- 11. THE ENTIRE PROPOSAL INCLUDING BID FORM AND MB/WB FORMS SHALL BE PLACED IN A SEALED ENVELOPE AND SHALL HAVE BEEN DELIVERED TO AND RECEIVED IN THE DIVISION OFFICE AT 375 SILAS CREEK PARKWAY, WINSTON-SALEM, NC 27127 BY 10:00 A.M. ON OCTOBER 5, 2011 (DIVISION OFFICE).
- 12. The sealed bid must display the following statement on the front of the sealed envelope:

Name and Address of Company and:
"QUOTATION FOR RESURFACING ON OLD LEXINGTON RD. (SR 1706) IN DAVIDSON
COUNTY TO BE OPENED AT 10:00 AM ON OCTOBER 5, 2011 (DIVISION OFFICE)."

**13.** If delivered by mail, the sealed envelope shall be placed in another sealed envelope and the outer envelope shall be addressed as follows:

J. Brett Abernathy, PE, PLS Division 9 Project Manager N. C. Department of Transportation 375 Silas Creek Parkway Winston-Salem, North Carolina 27127

#### AWARD OF CONTRACT

The award of the contract, if it be awarded, will be made to the lowest responsible Bidder for the Base Bid. The lowest responsible Bidder will be notified that his bid has been accepted and that he has been awarded the contract. NCDOT reserves the right to reject any and all bids.

#### STANDARD PROVISIONS

#### **GENERAL**

This contract is for **RESURFACING ON OLD LEXINGTON RD** (SR 1706) IN DAVIDSON COUNTY as shown on the attached map.

All work and materials shall be in accordance with the provisions of the General Guidelines of this contract, the Project Special Provisions, the current edition of the North Carolina Department of Transportation <u>Standard Specifications for Roads and Structures</u>, the current edition of the North Carolina Department of Transportation <u>Roadway Standards Drawings</u>, the current <u>NCDOT Superpave Manual</u>, and the current edition of the <u>Manual of Uniform Traffic Control Devices (MUTCD)</u>.

#### The following is the link to the current edition of the NCDOT

"Standard Specifications for Roads and Structures" and "Roadway Standard Drawings"

http://ncdot.org/doh/preconstruct/ps/specifications/specifications provisions.html

http://ncdot.org/doh/preconstruct/ps/std draw/06english/default.html

The Contractor shall keep himself fully informed of all Federal, State and local laws, ordinances, and regulations, and shall comply with the provisions of Section 107 of the current edition of the Standard Specifications for Roads and Structures.

#### CONTRACT TIME AND LIQUIDATED DAMAGES

(7-1-95)(Rev. 12-19-06)

RG 10

The date of availability for this contract is the date of purchase order.

The completion date for this contract is **November 15, 2011**.

When observation periods are required by the contract, they are not a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. Should an observation period extend beyond the final completion date, the acceptable completion of the observation period shall be a part of the work covered by the performance and payment bonds.

The liquidated damages for this contract are **Two Thousand** (\$2,000.00) per calendar day.

#### INTERMEDIATE CONTRACT TIME AND LIQUIDATED DAMAGES

(2-20-07)

SP1 G14 B

The Contractor shall not narrow or close a lane of traffic on **any map**, detain and /or alter the traffic flow on or during holiday weekends, special events, or any other time when traffic is unusually heavy, including the following schedules:

#### HOLIDAY AND HOLIDAY WEEKEND LANE CLOSURE RESTRICTIONS

- 1. For **unexpected occurrence** that creates unusually high traffic volumes, as directed by the Engineer.
- 2. For **New Year's Day**, between the hours of **6 am** December 31st and **6 am** January 2nd. If New Year's Day is on a Friday, Saturday, Sunday or Monday, then until **midnight** the following Tuesday.
- 3. For **Easter**, between the hours of **6 am** Thursday and **6 am** Monday.
- 4. For **Memorial Day**, between the hours of **6 am** Friday and **6 am** Tuesday.
- 5. For **Independence Day**, between the hours of **6 am** the day before Independence Day and **6 am** the day after Independence Day.

If **Independence Day** is on a Friday, Saturday, Sunday or Monday, then between the hours of **11 pm** the Thursday before Independence Day and **6 am** the Tuesday after Independence Day.

6. For **Labor Day**, between the hours of **6 am** Friday and **6 am** Tuesday.

- 7. For **Thanksgiving Day**, between the hours of **11 pm** Tuesday and **6 am** Monday.
- 8. For **Christmas**, between the hours of **11 pm** the Friday before the week of Christmas Day and **6 am** the following Tuesday after the week of Christmas Day.

Holidays and holiday weekends shall include New Year's, Easter, Memorial Day, Independence Day, Labor Day, Thanksgiving, and Christmas. The Contractor shall schedule his work so that lane closures will not be required during these periods, unless otherwise directed by the Engineer.

The time of availability for this intermediate contract work shall be the time the Contractor begins to install all traffic control devices for lane closures according to the time restrictions listed herein.

The completion time for this intermediate contract work shall be the time the Contractor is required to complete the removal of all traffic control devices for lane closures according to the time restrictions stated above and place traffic in a **full-lane, normal** pattern.

The liquidated damages are FIVE Thousand Dollars (\$ 5,000.00) per hour.

#### PROSECUTION OF WORK:

(7-1-95)

The Contractor will be required to prosecute the work in a continuous and uninterrupted manner from the time he begins the work until completion and final acceptance of the project. The Contractor will not be permitted to suspend his operations except for reasons beyond his control or except where the Engineer has authorized a suspension of the Contractor's operations in writing.

In the event that the Contractor's operations are suspended in violation of the above provisions, the sum of **Twenty Thousand Dollars \$20,000.00** will be charged the Contractor for each and every calendar day that such suspension takes place. The said amount is hereby agreed upon as liquidated damages due to extra engineering and maintenance costs and due to increased public hazard resulting from a suspension of the work. Liquidated damages chargeable due to suspension of the work will be additional to any liquidated damages that may become chargeable due to failure to complete the work on time.

#### **RAILROAD GRADE CROSSING:**

(7-1-95) RG 17

The Contractor's attention is directed that should the use of slow moving or stopped equipment be required at railroad grade crossings, notify the railroad's local representative of their anticipated time of work at the grade crossing at least 48 hours prior to performing work in that grade crossing location.

#### CONTRACT PAYMENT AND PERFORMANCE BOND

A performance bond in the amount of one hundred percent (100%) of the contract amount, conditioned upon the faithful performance of the contract in accordance with specifications and conditions of the contract is required for Construction contracts of \$300,000 or more. Such bond shall be solely for the protection of the North Carolina Department of Transportation and the State of North Carolina.

A payment bond in the amount of one hundred percent (100%) of the contract amount, conditioned upon the prompt payment for all labor or materials for which the Contractor, or his subcontractors, are liable is required for Construction contracts greater than \$300,000. The payment bond shall be solely for the protection of persons or firms furnishing materials or performing labor for this contract for which the Contractor is liable.

The successful bidder, within fourteen (14) days after request from NCDOT, shall provide the Department with a contract payment bond and a contract performance bond each in an amount equal to 100 percent of the amount of the contract.

#### LIABILITY INSURANCE

(11-18-08) SPI G80

#### Page 1-68, Article 107-16 is amended to include the following as the first, second, third and fourth paragraphs:

The Contractor shall be liable for any losses resulting from a breach of the terms of this contract. The Contractor shall be liable for any losses due to the negligence or willful misconduct of its agents, assigns and employees including any subcontractors which causes damage to others for which the Department is found liable under the Torts Claims Act, or in the General Courts of Justice, provided the Department provides prompt notice to the Contractor and that the Contractor has an opportunity to defend against such claims. The Contractor shall not be responsible for punitive damages.

The Contractor shall at its sole cost and expense obtain and furnish to the Department an original standard ACORD form certificate of insurance evidencing commercial general liability with a limit for bodily injury and property damage in the amount of \$5,000,000.00 per occurrence and general aggregate, covering the Contractor from claims or damages for bodily injury, personal injury, or for property damages which may arise from operating under the contract by the employees and agents of the Contractor. The required limit of insurance may be obtained by a single general liability policy or the combination of a general liability and excess liability or umbrella policy. The State of North Carolina shall be named as an additional insured on this commercial general liability policy. The policy may contain the following language as relates to the State as an additional insured: "This insurance with respect to the additional insured applies only to the extent that the additional insured is held liable for your or your agent's acts or omissions arising out of and in the course of operations performed for the additional insured."

The Contractor shall maintain all legally required insurance coverage, including without limitation, worker's compensation and vehicle liability, in the amounts required by law. Providing and maintaining adequate insurance coverage is a material obligation of the contractor and is of the essence of this contract. All such insurance shall meet all laws of the State of North Carolina. Such insurance coverage shall be obtained from companies that are authorized to provide such coverage and that are authorized by the Commissioner of Insurance to do business in North Carolina. The Contractor shall at all times comply with the terms of such insurance policies.

Upon execution of the contract, provide evidence of the above insurance requirements to the Engineer.

#### **WORKERS' COMPENSATION INSURANCE**

The contractor shall defend, indemnify and hold harmless the North Carolina Department of Transportation, its officers and employees from any claim, demand, suit, liability, judgment and expense (including attorney's fees and other costs of litigation) arising out of or relating to injury, disease, or death of persons or damage to or loss of property resulting from or in connection with the negligent performance of this contract by the contractor, its agents, employees, and subcontractors or any one for whom the contractor may be responsible. The obligations, indemnities and liabilities assumed by the contractor under this paragraph shall not extend to any liability caused by the negligence of the Department of Transportation or its employees. The contractor's liability shall not be limited by any provisions or limits of insurance set forth in this contract.

The contractor shall indemnify and hold harmless the North Carolina Department of Transportation from any claim, demand, suit, liability, judgment, and expense involving damage or loss to the contractor's equipment (including vandalism, theft, fire and acts of God) arising out of or relating to work performed under this agreement. The obligations, indemnities and liabilities assumed by the contractor under this paragraph shall not extend to any liability caused by the negligence of the Department of Transportation or its employees. The contractor's liability shall not be limited by any provisions or limits of insurance set forth in this contract.

The contractor further agrees to indemnify the North Carolina Department of Transportation for any damages to the roadway, highway signs, highway equipment and other property owned or in possession of the Department of Transportation, brought about by reason of the negligent operation of the leased equipment. The contractor further agrees to indemnify and save harmless the Department of Transportation, its officers and employees from any claims or amounts recovered by any of the contractor's employees under the Worker's Compensation Act.

Pursuant to N.C.G.S. § 97-19, all contractors/subcontractors of the Department of Transportation are, prior to beginning services, required to show proof of coverage issued by a workers' compensation insurance carrier, or a certificate of compliance issued by the Department of Insurance for self-insured contractor/subcontractors stating that it has complied with N.C.G.S. § 97-93 irrespective of whether contractor/subcontractors have regularly in service fewer than three employees in the same business within the State of North Carolina, and contractor/subcontractors shall be hereinafter liable under the Workers' Compensation Act for payment of compensation and other benefits to its employees for any injury or death due to an accident arising out of and in the course of performance of the work insured by the subcontractor.

The successful bidder shall provide Proof of insurance within (14) days after request from NCDOT prior to issuance of a purchase order and beginning work.

#### MINORITY BUSINESS ENTERPRISE AND WOMEN BUSINESS ENTERPRISE:

(10-16-07)(Rev 12-21-10)

RG67

**Policy** 

It is the policy of the North Carolina Department of Transportation that Minority Business Enterprises (MBEs) and Women Business Enterprise (WBEs) as defined in *GS 136-28.4* shall have the equal opportunity to compete fairly for and to participate in the performance of contracts financed in whole or in part by State Funds.

#### **Obligation**

The Contractor, subcontractor, and sub-recipient shall not discriminate on the basis of race, religion, color, creed, national origin, sex, handicapping condition or age in the performance of this contract. The Contractor shall comply with applicable requirements of *GS* 136-28.4 in the award and administration of state funded contracts. Failure by the Contractor to comply with these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy, as the Department deems necessary.

#### **Definitions**

Commitment - The approved MBE/WBE participation submitted by the prime contractor during the bidding process.

Committed MBE/WBE - Any MBE/WBE listed on the MBE/WBE commitment list approved by the Department at the time of bid submission or any MBE/WBE utilized as a replacement for a MBE/WBE firm listed on the commitment list.

Department - North Carolina Department of Transportation.

Minority Business Enterprise (MBE) – A firm certified as a Disadvantaged Minority-Owned Business Enterprise through the North Carolina Unified Certification Program.

Women Business Enterprise (WBE) – A firm certified as a Disadvantaged Women-Owned Business Enterprise through the North Carolina Unified Certification Program.

*MBE/WBE* – This term is used for convenience only. Minority Business Enterprise and Women Business Enterprise are not interchangeable terms and the goals for either or both are not interchangeable.

Goal - The MBE/WBE participation specified herein.

Letter of Intent – Written documentation of the bidder/offeror's commitment to use a MBE/WBE subcontractor and confirmation from the MBE/WBE that it is participating in the contract.

*Manufacturer* - A firm that operates or maintains a factory or establishment that produces on the premises the materials or supplies obtained by the Contractor.

Regular Dealer - A firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials or supplies required for the performance of the contract are bought, kept in stock, and regularly sold to the public in the usual course of business. A regular dealer engages in, as its principal business and in its own name, the purchase and sale or lease of the products in question. A regular dealer in such bulk items as steel, cement, gravel, stone, and petroleum products need not keep such products in stock, if it owns or operates distribution equipment. Brokers and packagers are not regarded as manufacturers or regular dealers within the meaning of this section.

SAF Subcontract Approval Form - Form required for approval to sublet the contract.

North Carolina Unified Certification Program - A program that provides comprehensive information to applicants for certification, such that an applicant is required to apply only once for a MBE/WBE certification that will be honored by all recipients of USDOT funds in the state and not limited to the Department of Transportation only. The Certification Program is in accordance with 49 CFR Part 26.

#### **Contract Goal**

The following goals for participation by Minority Business Enterprises and Women Business Enterprises are established for this contract:

#### (A) Minority Business Enterprises 3 %

- (1) If the goal is more than zero, the Contractor shall exercise all necessary and reasonable steps to ensure that Minority Business Enterprises participate in at least the percent of the contract as set forth above as the goal.
- (2) If the goal is zero, the Contractor shall continue to recruit the MBEs and report the use of MBEs during the construction of the project. A good faith effort will not be required with a zero goal.

#### (B) Women Business Enterprises 5 %

- (1) If the goal is more than zero, the Contractor shall exercise all necessary and reasonable steps to ensure that Women Business Enterprises participate in at least the percent of the contract as set forth above as the goal.
- (2) If the goal is zero, the Contractor shall continue to recruit the WBEs and report the use of WBEs during the construction of the project. A good faith effort will not be required with a zero goal.

#### **Contract Requirement**

The approved MBE/WBE participation submitted by the Contractor shall be the **Contract Requirement**.

#### **Certified Transportation Firms Directory**

Real-time information about firms doing business with the Department and firms that are certified through North Carolina's Unified Certification Program is available in the Directory of Transportation Firms. The Directory can be accessed by the link on the Department's homepage or by entering <a href="https://apps.dot.state.nc.us/vendor/directory/">https://apps.dot.state.nc.us/vendor/directory/</a> in the address bar of your web browser. Only firms identified as MBE/WBE certified in the Directory can be utilized to meet the contract goals.

The listing of an individual firm in the Department's directory shall not be construed as an endorsement of the firm's capability to perform certain work.

#### **Listing of MBE/WBE Subcontractors in Contract**

Only those MBE/WBE firms with current certification are acceptable for listing in the bidder's submittal of MBE/WBE participation. The Contractor shall indicate the following required information:

#### (A) Electronic Bids

Bidders shall submit a listing of MBE/WBE participation in the appropriate section of Expedite, the bidding software of Bid Express<sup>®</sup>.

- (1) The names and addresses of MBE/WBE firms committed to participate in the contract. If the bidder uses the updated listing of MBE/WBE firms shown in Expedite, the bidder may use the dropdown menu to access the name and address of the MBE/WBE firm.
- (2) The contract line numbers and agreed upon unit prices of work to be performed by each MBE/WBE firm. When no figures or firms are entered, the bidder will be considered to have no MBE/WBE participation.

#### **(B)** Paper Bids

- (1) If the goal is more than zero bidders, at the time the bid proposal is submitted, shall submit a listing of MBE/WBE participation on the appropriate form (or facsimile thereof) contained elsewhere in the contract documents in order for the bid to be considered responsive. Bidders shall indicate the total dollar value of the MBE/WBE participation for the contract. If the bidder has no MBE/WBE participation, he shall indicate this on the form "Listing of MBE/WBE Subcontractors" by entering the word or number zero. This form shall be completed in its entirety. Blank forms will not be deemed to represent zero participation. Bids submitted that do not have MBE/WBE participation indicated on the appropriate form will not be read publicly during the opening of bids. The Department will not consider these bids for award and the proposal will be returned to the bidder.
- (2) If the goal is zero, bidders at the time the bid proposal is submitted, shall enter the word "zero" or number "0" or if there is participation, add the value on the "Listing of MBE/WBE Subcontractors" (or facsimile thereof) contained elsewhere in the contract documents.

#### Written Documentation - Letter of Intent

The bidder shall submit written documentation of the bidder/offeror's commitment to use MBE/WBE subcontractors whose participation it submits to meet a contract goal and written confirmation from each MBE/WBE, listed in the proposal, indicating their participation in the contract. This documentation shall be submitted on the Department's form titled "Letter of Intent to Perform as a Subcontractor". This letter of intent form is available at: <a href="http://www.ncdot.org/doh/preconstruct/ps/contracts/letterofintent.pdf">http://www.ncdot.org/doh/preconstruct/ps/contracts/letterofintent.pdf</a>. It shall be received in the office of the State Contractor Utilization Engineer no later than 12:00 noon of the sixth calendar day following opening of bids.

If the bidder fails to submit the letter of intent from each committed MBE/WBE listed in the proposal indicating their participation in the contract, the MBE/WBE participation will not count toward meeting the goal.

#### Counting MBE/WBE Participation Toward Meeting MBE/WBE Goal of Zero or More

- (A) If a firm is determined to be an eligible MBE/WBE firm, the total dollar value of the participation by the MBE/WBE will be counted toward the contract requirement. The total dollar value of participation by a certified MBE/WBE will be based upon the value of work actually performed by the MBE/WBE and the actual payments to MBE/WBE firms by the Contractor.
- (B) When a MBE/WBE performs as a participant in a joint venture, the Contractor may count toward its MBE/WBE goal a portion of the total value of participation with the MBE/WBE in the joint venture, that portion of the total dollar value being a distinct clearly defined portion of work that the MBE/WBE performs with its forces.
- (C) The Contractor may count toward its MBE/WBE goal only expenditures to MBE/WBEs that perform a commercially useful function in the work of a contract. A MBE/WBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the MBE/WBE shall also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material and installing (where applicable) and paying for the material itself. To determine whether a MBE/WBE is performing a commercially useful function, the Department will evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing and the MBE/WBE credit claimed for its performance of the work, and other relevant factors.
  - (2) A MBE/WBE may enter into subcontracts. Work that a MBE/WBE subcontracts to another MBE/WBE firm may be counted toward the contract goal. Work that a MBE/WBE subcontracts to a non-MBE/WBE firm does not count toward the contract goal. If a MBE/WBE contractor or subcontractor subcontracts a significantly greater portion of the work of the contract than would be expected on the basis of standard industry practices, the MBE/WBE shall be presumed not to be performing a commercially useful function. The MBE/WBE may present evidence to rebut this presumption to the Department for commercially useful functions. The Department's decision on the rebuttal of this presumption will be final.

- (3) The following factors will be used to determine if a MBE/WBE trucking firm is performing a commercially useful function.
  - (a) The MBE/WBE shall be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there shall not be a contrived arrangement for the purpose of meeting MBE/WBE goals.
  - (b) The MBE/WBE shall itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
  - (c) The MBE/WBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.
  - (d) The MBE/WBE may lease trucks from another MBE/WBE firm, including an owner-operator who is certified as a MBE/WBE. The MBE/WBE who leases trucks from another MBE/WBE receives credit for the total value of the transportation services the lessee MBE/WBE provides on the contract.
  - (e) The MBE/WBE may also lease trucks from a non-MBE/WBE firm, including from an owner-operator. The MBE/WBE who leases trucks from a non-MBE/WBE is entitled to credit for the total value of transportation services provided by non-MBE/WBE lessees not to exceed the value of transportation services provided by MBE/WBE-owned trucks on the contract. Additional participation by non-MBE/WBE lessees receives credit only for the fee or commission it receives as a result of the lease arrangement. The value of services performed under lease agreements between the MBE/WBE and Contractor will not count towards the contract requirement.
  - (f) For purposes of this paragraph, a lease shall indicate that the MBE/WBE has exclusive use of and control over the truck. This does not preclude the leased truck from working for others during the term of the lease with the consent of the MBE/WBE, so long as the lease gives the MBE/WBE absolute priority for use of the leased truck. Leased trucks shall display the name and identification number of the MBE/WBE.
- (D) A contractor may count toward its MBE/WBE goals 60 percent of its expenditures for materials and supplies required to complete the contract and obtained from MBE/WBE regular dealer and 100 percent of such expenditures to a MBE/WBE manufacturer.
- (E) A contractor may count toward its MBE/WBE goals the following expenditures to MBE/WBE firms that are not manufacturers or regular dealers:
  - (1) The fees or commissions charged by a MBE/WBE firm for providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, provided the fees or commissions are determined to be reasonable and not excessive as compared with fees and commissions customarily allowed for similar services.
  - (2) The fees or commissions charged for assistance in the procurement of the materials and supplies, or for transportation charges for the delivery of materials or supplies required on a job site (but not the cost of the materials and supplies themselves), provided the fees are not from a manufacturer or regular dealer and provided the fees are determined to be reasonable and not excessive as compared with fees customarily allowed for similar services.

#### Good Faith Effort for Projects with Goals more than Zero

If the MBE/WBE participation submitted in the bid by the apparent lowest responsive bidder does not meet or exceed the MBE/WBE contract goals, the apparent lowest responsive bidder shall submit to the Department documentation of its good faith efforts made to reach each contract goal. One complete set and 9 copies of this information shall be received in the office of the State Contractor Utilization Engineer no later than 12:00 noon of the sixth calendar day following opening of bids. Where the information submitted includes repetitious solicitation letters it will be acceptable to submit

a representative letter along with a distribution list of the firms that were solicited. Documentation of MBE/WBE quotations shall be a part of the good faith effort submittal as necessary to demonstrate compliance with the factors listed below which the Department considers in judging good faith efforts. This documentation may include written subcontractor quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

The following factors will be used to determine if the bidder has made adequate good faith effort:

- (A) Whether the bidder attended any pre-bid meetings that were scheduled by the Department to inform MBE/WBEs of subcontracting opportunities.
- (B) Whether the bidder provided solicitations through all reasonable and available means (e.g. advertising in newspapers owned and targeted to the MBE/WBEs at least 10 calendar days prior to bid opening. Whether the bidder provided written notice to all MBE/WBEs listed in the NCDOT Directory of Transportation Firms, within the Divisions and surrounding Divisions where the project is located, that specialize in the areas of work (as noted in the MBE/WBE Directory) that the bidder will be subletting.
- (C) Whether the bidder followed up initial solicitations of interests by contacting MBE/WBEs to determine with certainty whether they were interested. If a reasonable amount of MBE/WBEs within the targeted Divisions do not provide an intent to quote or no MBE/WBEs specialize in the subcontracted areas, the bidder shall notify MBE/WBEs outside of the targeted Divisions that specialize in the subcontracted areas, and call the Director of Business and Opportunity Workforce Development to give notification of the bidder's inability to get MBE/WBE quotes.
- (D) Whether the bidder selected portions of the work to be performed by MBE/WBEs in order to increase the likelihood of meeting the contract goals. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE/WBE participation, even when the bidder might otherwise perform these work items with its own forces.
- (E) Whether the bidder provided interested MBE/WBEs with adequate and timely information about the plans, specifications and requirements of the contract.
- (**F**) Whether the bidder negotiated in good faith with interested MBE/WBEs without rejecting them as unqualified without sound reasons based on a thorough investigation of their capabilities. Any rejection should be noted in writing with a description as to why an agreement could not be reached.
- (G) Whether quotations were received from interested MBE/WBE firms but rejected as unacceptable without sound reasons why the quotations were considered unacceptable.
- (H) Whether the bidder specifically negotiated with subcontractors to assume part of the responsibility to meet the contract MBE/WBE goals when the work to be sublet includes potential for MBE/WBE participation.
- (I) Whether the bidder made any efforts and/or offered assistance to interested MBE/WBEs in obtaining the necessary equipment, supplies, materials, insurance, and/or bonding to satisfy the work requirements in the bid proposal.
- (J) Any other evidence that the bidder submits which show that the bidder has made reasonable good faith efforts to meet the contract goals.

If a bidder is the apparent lowest responsive bidder on more than one project within the same letting located in the same geographic area of the state, as a part of the good faith effort the Department will consider allowing the bidder to combine the MBE participation as long as the overall MBE goal value of the combined projects is achieved.

If a bidder is the apparent lowest responsive bidder on more than one project within the same letting located in the same geographic area of the state, as a part of the good faith effort the Department will consider allowing the bidder to combine the WBE participation as long as the overall WBE goal value of the combined projects is achieved.

If the Department does not award the contract to the apparent lowest responsive bidder, the Department reserves the right to award the contract to the next lowest responsive bidder that can satisfy the Department that the contract goal can be met or that adequate good faith efforts have been made to meet the goal.

#### **Banking MBE/WBE Credit**

If the bid of the lowest responsive bidder exceeds \$500,000 and if the MBE/WBE participation submitted exceeds the algebraic sum of the MBE/WBE goals by \$1000 or more, the excess will be placed on deposit by the Department for future use by the bidder. Separate accounts will be maintained for MBE and WBE participation and these may accumulate for a period not to exceed 24 months.

When the apparent lowest responsive bidder fails to submit sufficient participation by MBE firms to meet the contract goal, as part of the good faith effort, the Department will consider allowing the bidder to withdraw funds to meet the MBE goal so long as there are adequate funds available from the bidder's MBE bank account.

When the apparent lowest responsive bidder fails to submit sufficient participation by WBE firms to meet the contract goal, as part of the good faith effort, the Department will consider allowing the bidder to withdraw funds to meet the WBE goal so long as there are adequate funds available from the bidder's WBE bank account.

#### MBE/WBE Replacement

The Contractor shall not terminate a committed MBE/WBE subcontractor for convenience or perform the work with its own forces or those of an affiliate. If the Contractor fails to demonstrate reasonable efforts to replace a committed MBE/WBE firm that does not perform as intended with another committed MBE/WBE firm or completes the work with its own forces without the Engineer's approval, the Contractor may be disqualified from further bidding for a period of up to 6 months.

The Contractor shall comply with the following for replacement of committed MBE/WBE.

#### (A) Performance Related Replacement

When a MBE/WBE is terminated or fails to complete its work on the contract for any reason, the Contractor shall take all necessary, reasonable steps to replace the MBE/WBE subcontractor with another MBE/WBE subcontractor to perform at least the same amount of work as the MBE/WBE that was terminated. The Contractor is encouraged to first attempt to find another MBE/WBE firm to do the same work as the MBE/WBE that was being terminated.

To demonstrate necessary, reasonable good faith efforts, the Contractor shall document the steps they have taken to replace any MBE/WBE subcontractor who is unable to perform successfully with another MBE/WBE subcontractor. Such documentation shall include but not be limited to the following:

- (1) Copies of written notification to MBE/WBEs that their interest is solicited in subcontracting the work defaulted by the previous MBE/WBE subcontractor or in subcontracting other items of work in the contract.
- (2) Efforts to negotiate with MBE/WBEs for specific subbids including, at a minimum:
  - (a) The names, addresses, and telephone numbers of MBE/WBEs who were contacted.
  - (b) A description of the information provided to MBE/WBEs regarding the plans and specifications for portions of the work to be performed.
- (3) For each MBE/WBE contacted but rejected as unqualified, the reasons for the Contractor's conclusion.
- (4) Efforts made to assist the MBE/WBEs contacted, if needed, in obtaining bonding or insurance required by the Contractor.

#### **(B)** Decertification Replacement

(1) When a committed MBE/WBE is decertified by the Department after a Request for Subcontract has been received by the Department, the Department will not require the Prime Contractor to solicit replacement MBE/WBE participation equal to the remaining work to be performed by the decertified firm. The participation equal to the remaining work performed by the decertified firm will count toward the contract requirement.

(2) When a committed MBE/WBE is decertified prior to the Department receiving a Request for Subcontract for the named MBE/WBE firm, the Prime Contractor shall take all necessary and reasonable steps to replace the MBE/WBE subcontractor with another MBE/WBE subcontractor to perform at least the same amount of work to meet the contract goal or demonstrate that it has made a good faith effort to do so.

#### Changes in the Work

When the Engineer makes changes that result in the reduction or elimination of work to be performed by a committed MBE/WBE, the Contractor will not be required to seek additional participation. When the Engineer makes changes that result in additional work to be performed by a MBE/WBE based upon the Contractor's commitment, the MBE/WBE shall participate in additional work to the same extent as the MBE/WBE participated in the original contract work.

When the Engineer makes changes that result in extra work, which has more than a minimal impact on the contract amount, the Contractor shall seek additional participation by MBE/WBEs unless otherwise approved by the Engineer.

When the Engineer makes changes that result in an alteration of plans or details of construction and a portion or all of work had been expected to be performed by a committed MBE/WBE, the Contractor shall seek participation by MBE/WBEs unless otherwise approved by the Engineer.

When the Contractor requests changes in the work that result in the reduction or elimination of work that the Contractor committed to be performed by a MBE/WBE, the Contractor shall seek additional participation by MBE/WBEs equal to the reduced MBE/WBE participation caused by the changes.

#### Reports

A Subcontract Approval Form shall be submitted for all work which is to be performed by a MBE/WBE subcontractor, both committed and non-committed subcontractors. The Department reserves the right to require copies of actual subcontract agreements involving MBE/WBE subcontractors.

Within 30 calendar days of entering an agreement with a MBE/WBE for materials, supplies or services, not otherwise documented by a Request for Subcontract as specified above, the Contractor shall furnish the Engineer a copy of the agreement. The documentation should also indicate the percentage (60% or 100%) of expenditures claimed for MBE/WBE credit.

All certifications will be considered a part of the project records, and consequently will be subject to penalties under State Law associated with falsifications of records related to projects.

#### **Reporting MBE/WBE Participation**

- (A) The Contractor shall provide the Engineer with an accounting of payments made to MBE/WBE firms, including material suppliers, contractors at all levels (prime, subcontractor, or second tier subcontractor). This accounting shall be furnished to the Engineer for any given month by the end of the following month. Failure to submit this information accordingly may result in the following action:
  - (1) Withholding of money due in the next partial pay estimate; or
  - (2) Removal of an approved contractor from the prequalified bidders list or the removal of other entities from the approved subcontractors list.
- **(B)** Electronic Bids Reporting:

The Contractor shall report the accounting of payments through the Department's Payment Tracking System, which is located at:

https://apps.dot.state.nc.us/Vendor/PaymentTracking/. The Contractor shall also provide the Engineer an affidavit attesting the accuracy of the information submitted in the Payment Tracking System. This too shall be submitted for any given month by the end of the following month.

#### (C) Paper Bids Reporting:

The Contractor shall report the accounting of payments on the Department's MBE/WBE Subcontractor Payment Information Form MBE/WBE-IS, which is available at <a href="http://www.ncdot.org/doh/forms/files/MBE/WBE-IS.xls">http://www.ncdot.org/doh/forms/files/MBE/WBE-IS.xls</a>.

(**D**) Contractors reporting transportation services provided by non-MBE/WBE lessees shall evaluate the value of services provided during the month of the reporting period only.

Prior to payment of the final estimate, the Contractor shall furnish an accounting of total payment to each MBE/WBE. A responsible fiscal officer of the payee contractor, subcontractor, or second tier subcontractor who can attest to the date and amounts of the payments shall certify that the accounting is correct.

While each contractor (prime, subcontractor, 2nd tier subcontractor) is responsible for accurate accounting of payments to MBE/WBEs, it shall be the prime contractor's responsibility to report all monthly and final payment information in the correct reporting manner.

Failure on the part of the Contractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from further bidding until the required information is submitted.

Failure on the part of any subcontractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from working on any DOT project until the required information is submitted.

#### **Failure to Meet Contract Requirements**

Failure to meet contract requirements in accordance with Article 102-16(J) of the *Standard Specifications* may be cause to disqualify the Contractor.

#### PROGRESS SCHEDULE:

(12-18-07) RG 70

Revise the 2006 Specifications as follows:

#### Page 1-72, Article 108-2 Progress Schedule, delete in its entirety and replace with the following:

The Contractor shall prepare and submit for review and approval a schedule of proposed working progress. This schedule shall be submitted on forms supplied by the Engineer or in a format that is approved by the Engineer. A detailed Critical Path Method (CPM) schedule shall not be submitted to replace the progress schedule details required below.

The proposed progress schedule shall be submitted no later than 7 days prior to the date of the project preconstruction conference and shall be approved before any payments will be processed for the project.

When the Engineer has extended the completion date or if the project overrun is anticipated to exceed 5%, the Contractor may submit a revised progress schedule to the Engineer for review and approval. If plan revisions are anticipated to change the sequence of operations in such a manner as will affect the progress but not the completion date, then the Contractor may submit a revised progress schedule for review and approval but the completion date shall remain unchanged.

The proposed progress schedule shall contain the following items:

- (A) A time scale diagram with major work activities and milestone dates clearly labeled.
- (B) A cash curve corresponding to the milestones and work activities established above.
- (C) A written narrative that explains the sequence of work, the controlling operation(s), intermediate completion dates, milestones, project phasing, anticipated work schedule, and estimated resources. In addition, explain how permit requirements, submittal tracking, and coordination with subcontractors, utility companies and other entities will be performed.

Major work activities are defined as components comprising more than 5% of the total project cost or occupying more than 10% of total contract time and shall include, if applicable, the following:

Clearing and grubbing

Grading

Drainage

Soil stabilization

Aggregate base course

Pavement

Culverts

Bridges (including removal)

Signals, ITS, and lighting

Overhead signs

Major Milestones are derived from the project construction phasing and shall include, if applicable, the following:

Start of construction

Intermediate completion dates or times

Seasonal limitation/observation periods/moratoriums

Traffic shifts

Beginning and end of each traffic control phase or work area

Road openings

Completion date

#### **OUTSOURCING OUTSIDE THE USA:**

(9-21-04)

RG 150

All work on consultant contracts, services contracts, and construction contracts shall be performed in the United States of America. No work shall be outsourced outside of the United States of America.

*Outsourcing* for the purpose of this provision is defined as the practice of subcontracting labor, work, services, staffing, or personnel to entities located outside of the United States.

The North Carolina Secretary of Transportation shall approve exceptions to this provision in writing.

(12-19-06) RG 151

Revise the 2006 Standard Specifications as follows:

**Page 1-69, 107-18 Contractor's Responsibility for Work**, in the first paragraph, last sentence, replace the word *legally* with the word *contractually*.

#### GIFTS FROM VENDORS AND CONTRACTORS:

(12-15-09) SPI G152

By Executive Order 24, issued by Governor Perdue, and *N.C. G.S.*§ 133-32, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

- (1) have a contract with a governmental agency; or
- (2) have performed under such a contract within the past year; or
- (3) anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and G.S. § 133-32.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24.

#### MINIMUM WAGES

(7-21-09) Z-5

FEDERAL: The Fair Labor Standards Act provides that with certain exceptions every employer shall pay wages at the rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

STATE: The North Carolina Minimum Wage Act provides that every employer shall pay to each of his employees, wages at a rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all skilled labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all intermediate labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all unskilled labor on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

This determination of the intent of the application of this act to the contract on this project is the responsibility of the Contractor.

The Contractor shall have no claim against the Department of Transportation for any changes in the minimum wage laws, Federal or State. It is the responsibility of the Contractor to keep fully informed of all Federal and State Laws affecting his contract.

#### STANDARD SPECIAL PROVISION ERRATA

(7-21-09)

Z-4

Revise the Standard Specifications for Roads and Structures July 2006 on all projects as follows:

#### Division 1

- Page 1-1, replace AREA American Railway Engineering Association with American Railway Engineering and Maintenance of Way Association.
- Page 1-7, remove -L- in middle of page after INVITATION TO BID and before LABORATORY.
- Page 1-25, 102-16(R), move 2nd paragraph to left margin. It is not a part of this subarticle, but part of the entire article.

#### **Division 2**

- Page 2-9, Subarticle 225-1(C), 1st paragraph, 2nd line, last word, add a "d" to make the word grade become *graded*.
- Page 2-15, Subarticle 226-3, 5th paragraph, first line, replace the word in with the word is.
- Page 2-23, Subarticle 235-4(B)(9), at the end of the sentence, replace finished greater with finished grade.
- Page 2-28, Article 260-3, First paragraph, second line, remove the word *foot*.

#### **Division 3**

Page 3-13, Article 340-4, Second paragraph, change Flowable Backfill to Flowable Fill

#### **Division 4**

- Page 4-29, Article 420-13(A) Description, change reference from Section 1082 to Article 1081-6.
- Page 4-40 Subarticle 420-17(F) first line, change Subarticle 420-17(B) to (B) herein.
- Page 4-70, Article 442-13(B) Second sentence, change SSPC Guide 6I to SSPC Guide 6.
- Pages 4-72, 4-74, 4-76, at the top of the page, substitute the heading Section 452 with Section 450.
- Page 4-79, at the top of the page, substitute the heading Section 450 with Section 452
- Page 4-80, change 452-7 to 452-6 at the top of the page.
- Page 4-80, change Pay Item \_\_\_Steel Pile Retaining Walls, to *Sheet* Pile Retaining Walls.
- Page 4-88, 462-4, Title, Replace last word Measurement with the word *PAYMENT*

#### **Division 5**

- Page 5-8, Article 501-15 Measurement and Payment, delete the 4th paragraph that begins The quantity of lime, measured as provided ...
- Page 5-14, Article 520-11 Measurement and Payment, first paragraph, second line, delete will be.

#### Division 6

- Page 6-3, Article 600-9, 2nd Paragraph on this page, replace 818-5 with 818-4.
- Pages 6-30 and 31, Subarticle 610-3(A)(13) Move 2 paragraphs from the margin to the right under the number (13).
- Page 6-43, Article 610-8, 4th paragraph, remove the first *the*
- Page 6-44, 2nd full paragraph, 1<sup>st</sup> sentence, delete the first *and* and add *transverse* just before cross-slope control.
- Page 6-51, at the top of the page, add 610-14 on the same line, and just before the heading MAINTENANCE.
- Page 6-53, Article 620-4 sixth paragraph, second line; the word that should be *which*.
- Page 6-66, title, Replace EXISTNG with EXISTING
- Page 6-66, Article 657-1, Description, first sentence, replace PS/AR (hot-poured rubber asphalt with hot applied joint sealer.
- Page 6-66, Article 657-2, replace PS/AR (Hot-Poured Rubber Asphalt with the following:

Page 6-67, at the top of the page, substitute the heading Section 654 with Section 657.

Page 6-67, Article 657-3 Construction Methods, 2nd paragraph, replace PS/AR sealant with hot applied joint sealer.

Page 6-71, 660-9(B)(1), Replace the first sentence of the first paragraph with the following:

Using the quantities shown in *Table 660-1*, apply asphalt material to the existing surface followed by an application of No. 78 M or lightweight aggregate.

Page 6-89; Add a period at the end of the last sentence at the bottom of the page.

Page 6-90, Article 663-5, first paragraph, first sentence, change 50oF to  $50^{\circ}F$ ; third paragraph, fourth sentence change 325oF to  $325^{\circ}F$ .

#### **Division 7**

Page 7-12, at the top of the page, substitute the heading Section 710 with Section 700.

Page 7-15, Article 710-9, 4th paragraph, last line, change 710-11(B) to 710-10(B).

#### **Division 8**

Page 8-13, Article 808-3, 4th Paragraph, third line, replace Eexcavation with *Excavation* 

Page 8-35, Article 848-2, Item: Replace Cncrete with Concrete

#### **Division 9**

Page 9-2, add 901-3 just before CONSTRUCTION METHODS

#### **Division 10**

Page 10-12, near bottom of page add (C) before Proportioning and Mixing of Modified Compositions, which should be bold type.

Page 10-28, at the top of the page, substitute Section 1006 for 1005.

Page 10-54, Subarticle 1018-2A), First line, substitute (B) for II, third line, substitute (B)(2) for II-b.

Pages 10-56, 10-58, 10-60 at the top of the page, substitute Section 1018 with Section 1020.

Page 10-84, Table 1042-1, Class 2, Maximum, change from 23r to 23.

Page 10-84, Article 1042-2 Testing, last sentence, replace the word alterations with the word cycles.

Page 10-100, Table 1056-1, replace on the line for Trapezoidal Tear Strength:

Type 1	Type 2	Type 3		Type 4
		Class A	Class B	Soil Stabilization
<b>45</b> lb	<i>75</i> lb			<i>75</i> lb

Page 10-116, Subarticle 1070-10, first paragraph, second sentence, add or just before cold-forged sleeve.

Pages 10-136 through 10-147, at the top of the page, substitute Section 1074 with Section 1072.

Page 10-157, Article 1077-11, first paragraph, change the reference from Subarticle 420-18(B) to Subarticle 420-17(B).

Page 10-200, Subarticle 1080-14(B), change reference to ASTM D3359

Page 10-211, at the top of the page, substitute Section 1081 with Section 1082.

Page 10-229, add 1088-6 BLANK on the line above 1088-7 TUBULAR MARKERS.

Page 10-244, add 1089-10 BLANK and 1089-11 BLANK on the lines just above 1089-12 FLAGGER.

Page 10-272, delete Article 1098-6 in its entirety. Renumber Articles 1098-7 through 1098-17 as Articles 1098-6 through 1098-16 consecutively.

#### **Division 12**

Page 12-21 Add 1266-2 just before the heading MATERIALS.

#### Division 14

Page 14-33, Article 1413-6, first paragraph, first sentence, first line, replace made with paid for.

#### **Division 15**

- Page 15-4, Subarticle 1505-3(A)(2), replace the 2nd line with the following: Provide shielding or shoring as required under Section 150 or as required elsewhere in the contract.
- □ Page 15-5, add *1505-6* on the same line and just before the heading MEASUREMENT AND PAYMENT. (Remove the period after PAYMENT.)
- Page 15-6, Article 1505-6(3), delete in Section 1175 and replace it with elsewhere in the contract.

Page 15-2 add 1500-4 just before the heading WEEKEND, NIGHT AND HOLIDAY WORK.

- □ Page 15-8, add **1510-4** on the same line and just before the heading MEASUREMENT AND PAYMENT.
- □ Page 15-10, substitute **BLANK** for CONSTRUCTION REQUIREMENTS on the same line and just before 1515-4.
- □ Page 15-10, substitute CONSTRUCTION REQUIREMENTS for General Requirements
- □ Page 15-10, Article 1515-4, add (*D*) just before the bolded Fire Hydrants.
- □ Page 15-13, Article 1520-3, 8th paragraph, add *pipe* after diameter.
- □ Page 15-22, add 1540-3 on the same line and just before the heading CONSTRUCTION REQUIREMENTS.
- □ Page 15-28, Replace 1550-6 METHOD OF MEASUREMENT with *MEASUREMENT AND PAYMENT*.

#### Division 16

□ Page 16-12, Subarticle 1632-1(C) ¼ Inch hardware cloth, change the minimum width from 24 inches to 48 inches.

#### **Division 17**

- Page 17-19, Subarticle 1725-2 Material, Second paragraph, change Article 1098-7 to 1098-8
- Page 17-20, Subarticle 1726-2 Material, Second paragraph, change Article 1098-8 to 1098-9

#### **END**

#### PROJECT SPECIAL PROVISIONS

#### FINAL ACCEPTANCE AND FOURTEEN DAY OBSERVATION PERIOD:

7 1 of

R1 R13

Upon completion of construction as shown on each map, a 14 day observation period is required before acceptance. During the 14-day period, warrant the resurfaced area against failure.

No payment will be made for replacing failed pavement, as the cost of it will be considered incidental to the work initially paid for under the various items in the contract.

Completion and final acceptance of the project is contingent upon successful completion of the Observation Period. The observation period will be considered a part of the work required to be completed by the final completion date specified herein.

#### **CONSTRUCTION SEQUENCE:**

(7-1-95) Rev. Div 9 6/30/11

R1 R34

The Contractor shall give the Engineer at least 72 hours' notice prior to beginning each Map. State Forces will perform the milling required for tie-ins prior to the Contractor paving. Pave each section of roadway begun in a continuous operation. Do not begin work on another section of roadway unless satisfactory progress is being made toward completion of intersections and all other required incidental work by satisfactorily furnishing additional paving equipment and personnel, except for milling and patching operations.

#### **SAFETY VESTS and FLAGGERS**

All Contractors personnel, all subcontractors and their personnel, and any material suppliers and their personnel must wear an OSHA approved reflective vest or outer garment at all times while on the project. All flaggers must be certified by NCDOT.

#### **SAFETY EDGE**

Attach a device, mounted on screed of paving equipment, capable of constructing a shoulder wedge with an angle of not more than 30 degrees along the outside edge of the roadway, measured from the horizontal plane in place after final compaction on the final surface course. Use an approved mechanical device will form the asphalt mixture to produce a wedge with uniform texture, shape and density while automatically adjusting to varying heights. Payment for use of this device will be incidental to the other pay items in the contract.

#### **MAJOR CONTRACT ITEMS:**

(2-19-02)

RG 28

The following listed items are the major contract items for this contract (See Articles 101 and 104-5 of the 2006 Standard Specifications):

Line #	Description
1519000000-E- 610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B
1520000000-E-610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B (LEVELING COURSE)

#### **ASPHALT PAVEMENTS - SUPERPAVE:**

(7-18-06)(Rev 11-16-10)

R6 R01

Revise the 2006 Standard Specifications as follows:

Page 6-2, Article 600-9 Measurement and Payment, delete the second paragraph.

Page 6-12, Subarticle 609-5(C)(2), Required Sampling and Testing Frequencies, first partial paragraph at the top of the page, delete last sentence and replace with the following:

If the Engineer allows the mix to remain in place, payment will be made in accordance with Article 105-3.

### Page 6-12, Subarticle 609-5(C)(2), Quality Control Minimum Sampling and Testing Schedule, first paragraph, delete and replace with the following:

Sample and test the completed mixture from each mix design per plant per year at the following minimum frequency during mix production:

#### Second paragraph, delete the fourth sentence and replace with the following:

When daily production of each mix design exceeds 100 tons and a regularly scheduled full test series random sample location for that mix design does not occur during that day's production, perform at least one partial test series consisting of Items A and B in the schedule below.

### Page 6-12, Subarticle 609-5(C)(2)(c) Maximum Specific Gravity, add after (AASHTO T 209):

or ASTM D 2041

### Page 6-13, last line and on page and Page 6-14, Subarticle 609-5(C)(2)(e) Tensile Strength Ratio (TSR), add a heading before the first paragraph as follows:

(i) Option 1

#### Insert the following immediately after the first paragraph:

#### (ii) Option 2

Mix sampled from truck at plant with one set of specimens prepared by the Contractor and then tested jointly by QA and QC at a mutually agreed upon lab site within the first 7 calendar days after beginning production of each new mix design.

#### Second paragraph, delete and replace with the following:

Test all TSR specimens required by either option noted above on either a recording test press or a test press that maintains the peak load reading after the specimen has broken.

### Subarticle 609-5(C)(3) Control Charts, delete the second sentence of the first paragraph and replace with the following:

For mix incorporated into the project, record full test series data from all regularly scheduled random samples or directed samples that replace regularly scheduled random samples, on control charts the same day the test results are obtained.

### Page 6-15, Subarticle 609-5(C)(3) Control Charts, first paragraph on this page, delete the last sentence and substitute the following:

Denote the moving average control limits with a dash green line and the individual test limits with a dash red line.

#### Page 6-15, Subarticle 609-5(C)(3)(a), (b) and (c), replace (a) (b) and (c) with the following:

- (a) A change in the binder percentage, aggregate blend, or G<sub>mm</sub> is made on the JMF, or,
- (b) When the Contractor elects to stop or is required to stop production after one or two moving average values, respectively, fall outside the moving average limits as outlined in Subarticle 609-5(C)(6) or,
- (c) If failure to stop production after two consecutive moving averages exceed the moving average limits occurs, but production does stop at a subsequent time, re-establish a new moving average beginning at the actual production stop point.

### Page 6-15, Subarticle 609-5(C)(4) Control Limits, replace the first paragraph and the CONTROL LIMITS Table on page 6-16 with the following:

The following are established as control limits for mix production. Apply the individual limits to the individual test results. Control limits for the moving average limits are based on a moving average of the last 4 data points. Apply all control limits to the applicable target source.

#### **CONTROL LIMITS**

001/11102 211/1120					
Target Source	Moving Average Limit	Individual Limit			
JMF	±4.0 %	±8.0 %			
JMF	±1.5 %	±2.5 %			
JMF	±0.3 %	±0.7 %			
JMF	±1.0 %	±2.0 %			
Min. Spec. Limit	Min Spec. Limit	-1.0%			
1.0	±0.4	±0.8			
Max. Spec. Limit	N/A	+2.0%			
Min. Spec. Limit	N/A	- 15%			
	JMF JMF JMF JMF JMF Min. Spec. Limit 1.0 Max. Spec. Limit	JMF         ±4.0 %           JMF         ±1.5 %           JMF         ±0.3 %           JMF         ±1.0 %           Min. Spec. Limit         Min Spec. Limit           1.0         ±0.4           Max. Spec. Limit         N/A			

Page 6-16, Subarticle 609-5(C)(5) Warning Bands, delete this subarticle in its entirety.

Pages 6-16 through 6-19, Subarticle 609-5(C)(6), delete the word "warning" and replace with the words "moving average".

Page 6-16, Subarticle 609-5(C)(6) Corrective Actions, first paragraph, first sentence, delete and replace with the following:

Immediately notify the Engineer when moving averages exceed the moving average limits.

### Page 6-17, Subarticle 609-5(C)(6) Corrective Actions, delete the third full paragraph and replace with the following:

Failure to stop production when required due to an individual mix test not meeting the specified requirements will subject all mix from the stop point tonnage to the point when the next individual test is back on or within the moving average limits, or to the tonnage point when production is actually stopped, whichever occurs first, to being considered unacceptable.

### Sixth full paragraph, delete the first, second, and third sentence and replace with the following:

Immediately notify the Engineer when any moving average value exceeds the moving average limit. If two consecutive moving average values for any one of the mix control criteria fall outside the moving average limits, cease production of that mix, immediately notify the Engineer of the stoppage, and make adjustments. The Contractor may elect to stop production after only one moving average value falls outside the moving average limits.

### Page 6-18, Subarticle 609-5(C)(6) Corrective Actions, second full paragraph, delete and replace with the following:

If the process adjustment improves the property in question such that the moving average after four additional tests is on or within the moving average limits, the Contractor may continue production with no reduction in payment.

# Page 6-18, Subarticle 609-5(C)(6) Corrective Actions, delete the third and fourth full paragraphs, including the Table for Payment for Mix Produced in the Warning Bands and substitute the following:

If the adjustment does not improve the property in question such that the moving average after four additional individual tests is outside the moving average limits, the mix will be evaluated for acceptance in accordance with Article 105-3. Reduced payment for or removal of the mix in question will be applied starting from the plant sample tonnage at the stop point to the sample tonnage when the moving average is on or within the moving average limits. In addition, any mix that is obviously unacceptable will be rejected for use in the work.

### Page 6-19, Subarticle 609-5(C)(6) Corrective Actions, first paragraph, delete and replace with the following:

Failure to stop production and make adjustments when required due to two consecutive moving average values falling outside the moving average limits will subject all mix produced from the stop point tonnage to the tonnage point when the moving average is back on or within the moving average limits or to the tonnage point when production is actually stopped, whichever occurs first, to being considered unacceptable. Remove this material and replaced with materials that comply with the Specifications at no additional costs to the Department, unless otherwise approved. Payment will be made for the actual quantities of materials required to replace the removed quantities, not to exceed the original amounts.

## Page 6-20, Subarticle 609-5(D)(1) General, delete the third full paragraph, and replace with the following:

Perform the sampling and testing at the minimum test frequencies as specified above. Should the density testing frequency fail to meet the minimum frequency as specified above, all mix without the required density test representation will be considered unsatisfactory. If the Engineer allows the mix to remain in place, payment will be made in accordance with Article 105-3.

### Page 6-22, Subarticle 609-5(D)(4) Nuclear Gauge Density Procedures, third paragraph, insert the following as the second sentence:

Determine the Daily Standard Count in the presence of the QA Roadway Technician or QA Nuclear Gauge Technician on days when a control strip is being placed.

## Page 6-23, Subarticle 609-5(D)(5) Limited Production Procedure, delete the first paragraph including (a), (b), (c) and substitute the following:

Proceed on limited production when, for the same mix type and on the same contract, one of the following conditions occur (except as noted in the first paragraph below).

- (a) Two consecutive failing lots, except on resurfacing\*
- (b) Three consecutive failing lots on resurfacing\*
- (c) Two consecutive failing nuclear control strips.

### Page 6-25, Article 609-6 QUALITY ASSURANCE, DENSITY QUALITY ASSURANCE, insert the following items after item (E):

- (F) By retesting Quality Control core samples from control strips (either core or nuclear) at a frequency of 100% of the frequency required of the Contractor;
- (G) By observing the Contractor perform all standard counts of the Quality Control nuclear gauge prior to usage each nuclear density testing day; or
- (H) By any combination of the above.

## Page 6-28, Subarticle 610-3(A) Mix Design-General, delete the fourth and fifth paragraphs and replace with the following:

Reclaimed Asphalt Pavement (RAP) or Reclaimed Asphalt Shingles (RAS) may be incorporated into asphalt plant mixes in accordance with Article 1012-1 and the following applicable requirements.

Reclaimed asphalt pavement (RAP) may constitute up to 50% of the total material used in recycled mixtures, except for mix Type S 12.5D, Type S 9.5D, and mixtures containing reclaimed asphalt shingle material (RAS). Reclaimed asphalt shingle (RAS) material may constitute up to 6% by weight of total mixture for any mix. When both RAP and RAS are used, do not use a combined percentage of RAS and RAP greater than 20% by weight of total mixture, unless otherwise approved. When the percent of binder contributed from RAS or a combination of RAS and RAP exceeds 20% but not more than 30% of the total binder in the completed mix, the virgin binder PG grade shall be one grade below (both high and low temperature grade) the binder grade specified in Table 610-2 for the mix type, unless otherwise approved. When the percent of binder contributed from RAS or a combination of RAS and RAP exceeds 30% of the total binder in the completed mix, the Engineer will establish and approve the virgin binder PG grade. Use approved methods to determine if any binder grade adjustments are necessary to achieve the performance grade for the specified mix type.

For Type S 12.5D and Type S 9.5D mixes, the maximum percentage of reclaimed asphalt material is limited to 20% and shall be produced using virgin asphalt binder grade PG 76-22. For all other

<sup>\*</sup> Resurfacing is defined as the first new uniform layer placed on an existing pavement.

recycled mix types, the virgin binder PG grade shall be as specified in Table 610-2A for the specified mix type.

When the percentage of RAP is greater than 20% but not more than 30% of the total mixture, use RAP meeting the requirements for processed or fractionated RAP in accordance with the requirements of Article 1012-1.

When the percentage of RAP is greater than 30% of the total mixture, use an approved stockpile of RAP in accordance with Subarticle 1012-1(C). Use approved test methods to determine if any binder grade adjustments are necessary to achieve the performance grade for the specified mix type. The Engineer will establish and approve the virgin asphalt binder grade to be used.

Page 6-34, Subarticle 610-3(C) Job Mix Formula, delete Table 610-2 and associated notes and replace with the following:

TABLE 610-2 SUPERPAVE MIX DESIGN CRITERIA

Mix Type	Design ESALs	Binder PG	Leve	eaction ls No. ions @	Max. Rut Depth (mm)	Volumetric Properties (c)		(c)	
	Millions (a)	Grade (b)	N <sub>ini</sub>	N <sub>des</sub>		VMA % Min.	VTM %	VFA Min Max.	%G <sub>mm</sub> @ N <sub>ini</sub>
S-4.75A(e)	< 0.3	64 -22	6	50		20.0	7.0 - 15.0		
SF-9.5A	< 0.3	64 -22	6	50	11.5	16.0	3.0 - 5.0	70 - 80	≤ 91.5
S-9.5B	0.3 - 3	64 -22	7	65	9.5	15.5	3.0 - 5.0	65 - 80	≤ 90.5
S-9.5C	3 - 30	70 -22	7	75	6.5	15.5	3.0 - 5.0	65 - 78	≤ 90.5
S-9.5D	> 30	76 -22	8	100	4.5	15.5	3.0 - 5.0	65 - 78	≤ 90.0
S-12.5C	3 - 30	70 -22	7	75	6.5	14.5	3.0 - 5.0	65 - 78	≤ 90.5
S-12.5D	> 30	76 -22	8	100	4.5	14.5	3.0 - 5.0	65 - 78	≤ 90.0
I-19.0B	< 3	64 -22	7	65		13.5	3.0 - 5.0	65 - 78	≤ 90.5
I-19.0C	3 - 30	64 -22	7	75		13.5	3.0 - 5.0	65 - 78	≤ 90.0
I-19.0D	> 30	70 -22	8	100		13.5	3.0 - 5.0	65 - 78	≤ 90.0
B-25.0B	< 3	64 -22	7	65		12.5	3.0 - 5.0	65 - 78	≤ 90.5
B-25.0C	> 3	64 -22	7	75		12.5	3.0 - 5.0	65 - 78	≤ 90.0
	Design Par					Design Criteria			
All Mix Types	1. Dust to I 2. Retained (AASHTO	l Tensile S	trength			0.6 – 1.4 85% Min. ( <b>d</b> )			

Notes:

- (a) Based on 20 year design traffic.
- (b) When Recycled Mixes are used, select the binder grade to be added in accordance with Subarticle 610-3(A).
- (c) Volumetric Properties based on specimens compacted to  $N_{\text{des}}$  as modified by the Department.
- (d) AASHTO T 283 Modified (No Freeze-Thaw cycle required). TSR for Type S 4.75A, Type B 25.0B, and Type B 25.0C mixes is 80% minimum.
- (e) Mix Design Criteria for Type S 4.75A may be modified subject to the approval of the Engineer.

Page 6-34, Insert the following immediately after Table 610-2:

TABLE 610-2A SUPERPAVE MIX DESIGN CRITERIA

1.	2. Percentage of RAP in Mix				
3.	4. Catego ry 1	5. Category 2	6. Category 3		
7. Mix Type	8. % RAP ≤20%	9. $20.1\% \le \% RAP \le 30.0\%$	10. %RAP > 30.0%		
11. All A and B Level Mixes, I19.0C, B25.0C	12. PG 64 - 22	13. PG 64 -22	14. TBD		
15. S9.5C, S12.5C, I19.0D	16. PG 70 - 22	17. PG 64-22	18. TBD		
19. S 9.5D and S12.5D	20. PG 76- 22	21. N/A	22. N/A		

#### 23.

- 24. Note: (1) Category 1 RAP has been processed to a maximum size of 2 inches.
  - 25. (2) Category 2 RAP has been processed to a maximum size of 1 inch by either crushing and or screening to reduce variability in the gradations.
  - 26. (3) Category 3 RAP has been processed to a maximum size of 1 inch, fractionating the RAP into 2 or more sized stockpiles

Page 6-35, Table 610-3 delete and replace with the following:

TABLE 610-3 ASPHALT PLACEMENT- MINIMUM TEMPERATURE REQUIREMENTS

<b>Asphalt Concrete Mix Type</b>	Minimum Air Temperature	Minimum Surface Temperature
ACBC, Type B 25.0B, C, B 37.5C	35°F	35°F
ACIC, Type I 19.0B, C, D	35°F	35°F
ACSC, Type S 4.75A, SF 9.5A, S 9.5B	40°F	50°F*
ACSC, Type S 9.5C, S 12.5C	45°F	50°F
ACSC, Type S 9.5D, S 12.5D	50°F	50°F

<sup>\* 35°</sup>F if surface is soil or aggregate base for secondary road construction.

### Page 6-45, Article 610-8 SPREADING AND FINISHING delete the third paragraph on page 6-45 and replace with the following:

Use a Material Transfer Vehicle (MTV) when placing all asphalt concrete plant mix pavements which require the use of asphalt binder grade PG 76-22 and for all types of OGAFC, unless otherwise approved. Use a MTV for all surface mix regardless of binder grade placed on Interstate facilities. Where required above, utilize the MTV when placing all full width travel lanes, collector lanes, ramps, and loops.

### Page 6-44, Article 610-8 SPREADING AND FINISHING, third full paragraph, replace the first sentence with the following:

Use the 30 foot minimum length mobile grade reference system or the non-contacting laser or sonar type ski with at least four referencing stations mounted on the paver at a minimum length of 24 feet

to control the longitudinal profile when placing the initial lanes and all adjacent lanes of all layers, including resurfacing and asphalt in-lays, unless otherwise specified or approved.

### Page 6-50, Article 610-13 DENSITY ACCEPTANCE, delete the second paragraph and replace with the following:

As an exception, when the first layer of mix is a surface course and is being placed directly on an unprimed aggregate or soil base, the layer will be included in the "Other" construction category.

### Page 6-50, Article 610-13 DENSITY ACCEPTANCE, delete the formula and description in the middle of the page and replace with the following:

 $PF = 100 - 10(D)^{1.465}$ 

Where: PF = Pay Factor (computed to 0.1%)

D = the deficiency of the lot average density,

not to exceed 2.0%

### Page 6-53, Article 620-4 MEASUREMENT AND PAYMENT, sixth paragraph, delete the last sentence and seventh paragraph, delete the paragraph and replace with the following:

The adjusted contract unit price will then be applied to the theoretical quantity of asphalt binder authorized for use in the plant mix placed during the partial payment period involved, except that where recycled plant mix is used, the adjusted unit price will be applied only to the theoretical number of tons of additional asphalt binder materials required by the job mix formula.

#### Page 6-54, Article 620-4 MEASUREMENT AND PAYMENT, add the following pay item:

Pay ItemPay UnitAsphalt Binder for Plant Mix, Grade PG 70-28Ton

# Page 6-59, Article 650-5 CONSTRUCTION REQUIREMENTS delete the second paragraph from the bottom of the page beginning "Use a Material Transfer Vehicle (MTV)..." and replace with the following:

Use a Material Transfer Vehicle (MTV) when placing all asphalt concrete plant mix pavements which require the use of asphalt binder grade PG 76-22 and for all types of OGAFC, unless otherwise approved. Use a MTV for all surface mix regardless of binder grade placed on Interstate facilities. Where required above, utilize the MTV when placing all full width travel lanes, collector lanes, ramps, and loops.

## Page 6-69, TABLE 660-1 MATERIAL APPLICATION RATES AND TEMPERATURES, add the following:

Type of Coat	Grade of Asphalt	Asphalt Rate gal/yd <sup>2</sup>	Application Temperature °F	Aggregate Size	Aggregate Rate lb./sq. yd. Total
Sand Seal	CRS-2 or CRS-2P	0.22-0.30	150-175	Blotting Sand	12-15

Page 6-75, Subarticle 660-9(B) Asphalt Seal Coat, add the following as sub-item (5)

#### (5) Sand Seal

Place the fully required amount of asphalt material in one application and immediately cover with the seal coat aggregate. Uniformly spread the fully required amount of aggregate in one application and correct all non-uniform areas prior to rolling.

Immediately after the aggregate has been uniformly spread, perform rolling.

When directed, broom excess aggregate material from the surface of the seal coat.

When the sand seal is to be constructed for temporary sealing purposes only and will not be used by traffic, other grades of asphalt material meeting the requirements of Articles 1020-6 and 1020-7 may be used in lieu of the grade of asphalt required by Table 660-1 when approved.

#### Page 6-76, Article 661-1 DESCRIPTION, add the following as the 2nd paragraph:

Provide and conduct the quality control and required testing for acceptance of the UBWC in accordance with *Quality Management System for Asphalt Pavements (OGAFC, PADL, and Ultra-Thin HMA Version)*, included in the contract.

#### Page 6-76, Article 661-2 MATERIALS, add the following after Asphalt Binder, Grade 70-28:

Item	Section
Asphalt Binder, Grade 76-22	1020
Reclaimed Asphalt Shingles	1012

### Page 6-78, Subarticle 661-2(E), Asphalt Binder For Plant Mix, Grade PG 70-28, rename as ASPHALT BINDER FOR PLANT MIX and add the following as the first paragraph:

Use either PG 70-28 or PG 76-22 binder in the mix design. Where PG 76-22 is being used in the production of Ultra-thin, the grade of asphalt binder to be paid for will be PG 70-28, unless otherwise approved.

### Page 6-79, Subarticle 661-2(G) Composition of Mix, add the following as the third sentence of the first paragraph.

The percent of asphalt binder contributed from the RAS shall not exceed 20% of the total binder in the completed mix.

Page 6-80, Article 661-2(G) Composition of Mix, replace Table 661-4 and associated notes with the following:

	TABLE 661-4 – MIXTURE DESIGN CRITERIA Gradation Design Criteria (% Passing by Weight)					
Standa	ard Sieves	1/2 in. Type A	3/8 in. Type B	1/4 in. Type C		
ASTM	mm		(% Passing by Weig	ht)		
<sup>3</sup> ⁄ <sub>4</sub> inch	19.0	100				
½ inch	12.5	85 - 100	100			
3/8 inch	9.5	60 - 80	85 - 100	100		
#4	4.75	28 - 38	28 - 44	40 - 55		
#8	2.36	19 - 32	17 – 34	22 - 32		
#16	1.18	15 - 23	13 - 23	15 - 25		
#30	0.600	10 - 18	8 - 18	10 - 18		
#50	0.300	8 - 13	6 - 13	8 - 13		
#100	0.150	6 - 10	4 - 10	6 - 10		
#200	0.075	4.0 - 7.0	3.0 - 7.0	4.0 - 7.0		

Mix Design Criteria					
	1/2 in. Type A	3/8 in. Type B	1/4 in. Type C		
Asphalt Content, %	4.6 - 5.6	4.6 - 5.8	5.0 - 5.8		
Draindown Test, AASHTO T 305	0.1% max.				
Moisture Sensitivity, AASHTO T 283*	80% min.				
Application Rate, lb/ yd <sup>2</sup>	90	70	50		
Approximate Application Depth, in.	3/4	5/8	1/2		
Asphalt PG Grade,	PG 70-28 or	PG 70-28 or	PG 70-28 or		
AASHTO M 320	PG 76-22	PG 76-22	PG 76-22		

NOTE: \*Specimens for T-283 testing are to be compacted using the SUPERPAVE gyratory compactor. The mixtures shall be compacted using 100 gyrations to achieve specimens approximately 95 mm in height. Use mixture and compaction temperatures recommended by the binder supplier.

#### Page 6-80, Subarticle 661-3(A) Equipment, add the following as the first paragraph:

Use asphalt mixing plants in accordance with Article 610-5 of the *Standard Specifications*.

### Page 6-82, Subarticle 661-3(C), Application of Ultra-thin Bonded Wearing Course, delete the first paragraph and add the following as the first and second paragraphs.

Use only one asphalt binder PG grade for the entire project, unless the Engineer gives written approval.

Do not place Ultra-thin Bonded Wearing Course between October 31 and April 1, when the pavement surface temperature is less than 50°F or on a wet pavement. In addition, when PG 76-22 binder is used in the JMF, place the wearing course only when the road pavement surface temperature is 60°F or higher and the air temperature in the shade away from artificial heat is 60°F or higher.

### Page 10-40, Subarticle 1012-1(A) General, add the following at the end of the last paragraph, last sentence:

or ultra-thin bonded wearing course.

Page 10-41, Table 1012-1, delete the entries for OGAFC and add new entries for OGAFC and a row for UBWC with entries:

Mix Type	Coarse Aggregate Angularity <sup>(b)</sup> ASTM D5821	Fine Aggregate Angularity % Minimum AASHTO T304 Method A	Sand Equivalent % Minimum AASHTO T176	Flat & Elongated 5:1 Ratio % Maximum ASTM D4791 Section 8.4	
S 9.5 D	100/100	45	50	10	
OGAFC	100/100	N/A	N/A	10	
UBWC	100/85	40	45	10	

#### Delete Note (c) under the Table 1012-1 and replace with the following:

(c) Does not apply to Mix Types SF 9.5A and S 9.5B.

### Page 10-42, Subarticle 1012-1(B)(6) Toughness (Resistance to Abrasion), add as the last sentence:

The percentage loss for aggregate used in UBWC shall be no more than 35%.

### Page 10-43, Subarticle 1012-1(F) Reclaimed Asphalt Shingle Material (RAS), insert the following immediately following the first paragraph:

#### (1) Mix Design RAS

Incorporate RAS from stockpiles that have been tested for uniformity of gradation and binder content prior to use in an asphalt mix design.

#### (2) Mix Production RAS

New Source RAS is defined as acceptable material which was not included in the stockpile when samples were taken for mix design purposes. Process new source RAS so that all materials will pass a 1/2" sieve prior to introduction into the plant mixer unit.

After a stockpile of processed RAS has been sampled and mix designs made from these samples, do not add new source RAS to the original stockpile without prior field testing to insure gradation and binder uniformity. Sample and test new source RAS before blending with the existing stockpile.

Store new source RAS in a separate stockpile until the material can be sampled and tested for comparison with the original recycled mix design data. New source RAS may also be placed against the existing stockpile in a linear manner provided it is sampled for mix design conformity prior to its use in the recycled mix.

RAS contamination including but not limited to excessive dirt, debris, clean stone, concrete will not be allowed.

Field approval of new source RAS will be based on the table below and volumetric mix properties on the mix with the new source RAS included. Provided these tolerances are met, volumetric properties of the new mix will then be performed. If all volumetric mix properties meet the mix design criteria for that mix type, the new source RAS may continue to be used.

If the gradation, binder content, or any of the volumetric mix properties are not within the allowable tolerances of the table below, do not use the new source RAS unless approved by the Engineer. The Contractor may elect to either not use the stockpile, to request an adjustment to the JMF, or to redesign the mix.

NEW SOURCE RAS GRADATION and BINDER TOLERANCES (Apply Tolerances to Mix Design Data)

0-6% RAS					
P <sub>b</sub> %	±1.6%				
Sieve Size (mm)	Tolerance				
9.5	±1				
4.75	±5				
2.36	<u>±</u> 4				
1.18	±4				
0.300	±4				
0.150	±4				
0.075	±2.0				

Page 10-43 through 10-45, Subarticle 1012-1(G), delete this in its entirety and replace with the following:

#### (G) Reclaimed Asphalt Pavement (RAP)

#### (1) Mix Design RAP

Incorporate RAP from stockpiles or other sources that have been tested for uniformity of gradation and binder content prior to use in an asphalt mix design. Use reclaimed asphalt pavement that meets all requirements specified for *one of* the following *two* classifications.

#### (a) Millings

Existing reclaimed asphalt pavement (RAP) that is removed from its original location by a milling process as specified in Section 607. Millings should be such that it has a uniform gradation and binder content and all materials will pass a 2" sieve prior to introduction into the plant mixer unit.

#### (b) Processed RAP

RAP that is processed in some manner (possibly by crushing and/or use of a blending method) to produce a uniform gradation and binder content in the RAP prior to use in a recycled mix. Process RAP so that all materials have a uniform gradation and binder content and will pass a 1" sieve prior to introduction into the plant mixer unit.

#### (c) Fractionated RAP

Fractionated RAP is defined as having two or more RAP stockpiles, where the RAP is divided into coarse and fine fractions. Grade RAP so that all materials will pass a 1" sieve. The coarse RAP stockpile shall only contain material retained on a 3/8" screen, unless otherwise approved. The fine RAP stockpile shall only contain material passing the 3/8" screen, unless otherwise approved. The Engineer may allow the Contractor to use an alternate to the 3/8" screen to fractionate the RAP. The maximum percentages of fractionated RAP may be comprised of coarse, fine, or the combination of both. Utilize a separate cold feed bin for each stockpile of fractionated RAP used.

#### (d) Approved Stockpiled RAP

Approved Stockpiled RAP is defined as fractionated RAP which has been isolated and tested for asphalt content, gradation, and asphalt binder characteristics with the intent to be used in mix designs with greater than 30% RAP materials. Fractionate the RAP in accordance with Subarticle 1012-1(G)(1)(c). Utilize a separate cold feed bin for each approved stockpile of RAP used.

Perform extraction tests at a rate of 1 per 1000 tons of RAP, with a minimum of 5 tests per stockpile to determine the asphalt content and gradation. Separate stockpiles of RAP material by fine and coarse fractions. Erect and maintain a sign satisfactory to the Engineer on each stockpile to identify the material. Assure that no deleterious material is allowed in any stockpile. The Engineer may reject by visual inspection any stockpiles that are not kept clean, separated, and free of foreign materials.

Submit requests for RAP stockpile approval to the Engineer with the following information at the time of the request:

- (1) Approximate tons of materials in stockpile
- (2) Name or Identification number for the stockpile
- (3) Asphalt binder content and gradation test results
- (4) Asphalt characteristics of the Stockpile.

For the Stockpiled RAP to be considered for approval, the gradation and asphalt content shall be uniform. Individual test results, when compared to the target, will be accepted if within the tolerances listed below:

APPROVED STOCKPILED RAP GRADATION and BINDER TOLERANCES (Apply Tolerances to Mix Design Data)

(pp-) - 0-0	(11pp1) 1 0101 unit 05 to 1:111 2 051811 2 utu)					
P <sub>b</sub> %	±0.3%					
Sieve Size (mm)	Percent Passing					
25.0	±5%					
19.0	±5%					
12.5	±5%					
9.5	±5%					
4.75	±5%					
2.36	±4%					
1.18	±4%					
0.300	±4%					
0.150	±4%					
0.075	±1.5%					

Note: If more than 20% of the individual sieves are out of the gradation tolerances, or if more than 20% of the asphalt binder content test results fall outside the appropriate tolerances, the RAP shall not be used in HMA unless the RAP representing the failing tests is removed from the stockpile.

Do not add additional material to any approved RAP stockpile, unless otherwise approved by the Engineer.

Maintain at the plant site a record system for all approved RAP stockpiles. Include at a minimum the following: Stockpile identification and a sketch of all stockpile areas at the plant site; all RAP test results (including asphalt content, gradation, and asphalt binder characteristics).

#### (2) Mix Production RAP

During mix production, use RAP that meets the criteria for one of the following categories:

#### (a) Mix Design RAP

RAP contained in the mix design stockpiles as described above may be used in all applicable JMFs. These stockpiles have been pretested: however, they are subject to required QC/QA testing in accordance with Subarticle 609-5(C)(2).

#### (b) New Source RAP

New Source RAP is defined as any acceptable material that was not included in the stockpile or other source when samples were taken for mix design purposes. Process new source RAP so that all materials have a uniform gradation and binder content and will pass a 2" sieve prior to introduction into the plant mixer unit.

After a stockpile of millings, processed RAP, or fractionated RAP has been sampled and mix designs made from these samples, do not add new source RAP to the original stockpile without prior field testing to insure gradation and binder uniformity. Sample and test new source RAP before blending with the existing stockpile.

Store new source RAP in a separate stockpile until the material can be sampled and tested for comparison with the original recycled mix design data. New source RAP may also be placed against the existing stockpile in a linear manner provided it is sampled for mix design conformity prior to its use in the recycled mix.

Unprocessed RAP is asphalt material that was not milled and/or has not been processed to obtain a uniform gradation and binder content and is not representative of the RAP used during the applicable mix design. Unprocessed RAP shall not be incorporated into any JMFs prior to processing. Different sources of unprocessed RAP may be stockpiled together provided it is generally free of contamination and will be processed prior to use in a recycled mix. RAP contamination in the form of excessive dirt, debris, clean stone, concrete, etc. will not be allowed. Incidental amounts of dirt, concrete, and clean stone may be acceptable. Unprocessed RAP may be processed and then classified as a new source RAP as described above.

Field approval of new source RAP will be based on Table 1012-2 below and volumetric mix properties on the mix with the new source RAP included. Provided the Table 1012-2 tolerances are met, volumetric properties of the new mix will then be performed. If all volumetric mix properties meet the mix design criteria for that mix type, the new source RAP may continue to be used.

If the gradation, binder content, or any of the volumetric mix properties are not within the allowable tolerances of Table 1012-2, do not use the new source RAP unless approved by the Engineer. The Contractor may elect to either not use the stockpile, to request an adjustment to the JMF, or to redesign the mix.

	TABLE 1012-2 NEW SOURCE RAP GRADATION and BINDER TOLERANCES (Apply Tolerances to Mix Design Data)								
Mix Type	(	)-20% RA	P	20 <sup>+</sup> -30 % RAP		30 <sup>+</sup> % RAP			
Sieve (mm)	Base	Inter.	Surf.	Base	Inter.	Surf.	Base	Inter.	Surf.
P <sub>b</sub> %		± 0.7%		± 0.4%		± 0.3%			
25.0	±10	-	-	±7	-	-	±5	-	-
19.0	±10	±10	-	±7	±7	-	±5	±5	-
12.5	-	±10	±10	-	±7	±7	-	±5	±5
9.5	-	-	±10	-	-	±7	-	-	±5
4.75	±10	-	±10	±7	-	±7	±5	-	±5
2.36	±8	±8	±8	±5	±5	±5	±4	±4	±4
1.18	±8	±8	±8	±5	±5	±5	±4	±4	±4
0.300	±8	±8	±8	±5	±5	±5	±4	±4	±4
0.150	-	-	±8	-	-	±5	-	-	±4
0.075	±4	±4	±4	±2	±2	±2	±1.5	±1.5	±1.5

#### **ASPHALT BINDER CONTENT OF ASPHALT PLANT MIXES:**

(11-21-00) (Rev 7-19-11)

R6 R15

The approximate asphalt binder content of the asphalt concrete plant mixtures used on this project will be as follows:

Asphalt Concrete Base Course	Type B 25.0	4.4%
Asphalt Concrete Intermediate Course	Type I 19.0	4.8%
Asphalt Concrete Surface Course	Type S 4.75A	6.8%
Asphalt Concrete Surface Course	Type SF 9.5A	6.7%
Asphalt Concrete Surface Course	Type S 9.5	6.0%
Asphalt Concrete Surface Course	Type S 12.5	5.5%

The actual asphalt binder content will be established during construction by the Engineer within the limits established in the 2006 Standard Specifications.

#### PAVING INTERSECTIONS, DRIVEWAYS, AND MAILBOX TURNOUTS:

(7-1-95) R6 R70

Surface all unpaved intersections back from the edge of the pavement on the main line of the project at least 50 feet. Surface all driveway and mailbox turnouts as directed by the Engineer. The pavement placed in the intersections shall be of the same material and thickness as being used on the main line. Use material to pave driveway and mailbox turnouts that are being used on the project and place it in depths directed by the Engineer.

Resurface all paved intersections back to the ends of the radii, or as directed by the Engineer.

The unpaved intersections, driveways, and mailbox turnouts will be prepared for surfacing by State Forces.

Widen the pavement on curves as directed by the Engineer

#### ASPHALT CONCRETE SURFACE COURSE, TYPE S9.5B (Leveling Course):

(7-1-95)

R6 R85

Place a leveling course of *Asphalt Concrete Surface Course*, *Type S9.5B* at locations shown on the sketch maps and as directed by the Engineer. The rate of this leveling course is not established but will be determined by allowing the screed to *drag* the high points of the section. It is anticipated that this map will be leveled from beginning to end.

The Asphalt Concrete Surface Course, Type S9.5B (Leveling Course) shall meet the requirements of Section 610 of the *Standard Specifications* except payment will be made at the contract unit price per ton for *Asphalt Concrete Surface Course*, *Type S9.5B* (*Leveling Course*).

#### **PATCHING EXISTING PAVEMENT:**

(1-15-02) (Rev.11-29-10) Rev Div. 9 6/17/11

R6 R88

All patching will be performed by State Forces.

#### ADJUSTMENT OF MANHOLES, METER BOXES, AND VALVE BOXES:

(7-1-95) REV Div. 9 6/30/11

R8 R97

All manholes, meters and valve boxes in areas to be paved shall be adjusted by the contractor once the final layer of asphalt has been placed. **The Contractor is responsible for locating all manholes, meters and valve boxes covered by his paving operation prior to paving.** Existing walls shall be sufficient depth to provide for the adjustment. The adjustment shall be performed with brick or block masonry or Portland cement concrete, in accordance with subarticle 840.3(B) or as approved by the Engineer.

Backfill of excavated areas through an existing pavement shall be accomplished by the use of Portland cement concrete meeting the requirements for Class B or any higher class of concrete. The cost will be under a separate bid item per each (EA).

The adjustment of structures will be paid on a per each (EA) basis.

# ASPHALT CONCRETE SURFACE COURSE COMPACTION:

(7-1-95) Rev Div. 9 7/1/11 R6 R49

Compact the asphalt surface course on this project in accordance with Subarticle 610-9 of the 2006 Standard Specifications and the following provision:

Compact all asphalt layers, except Open Graded Friction Courses, using a minimum of two steel wheel tandem rollers and one pneumatic tired roller, unless otherwise approved. Utilize a vibratory steel wheel roller for break down and the pneumatic tired roller for intermediate rolling operations. Utilize the other steel wheel roller for the finish rolling operation. Provide a pneumatic tire roller with tandem axles and smooth tires. The finish roller may not be used in the vibratory mode for any reason. No roller may be used to perform two rolling or compaction functions. The Contractor is cautioned that excessive vibratory compaction efforts could destroy the existing pavement base.

# **PAVEMENT WIDTH VARIES:**

(7-1-95) R6 R76

The Contractor's attention is directed to the fact that the existing pavement varies in width and the Contractor will be required to widen the pavement as directed by the Engineer in order to obtain a uniform edge of pavement.

# **AGGREGATE PRODUCTION:**

(11-20-01) (Rev. 11-21-06)

R10 R05

Provide aggregate from a producer who uses the current Aggregate Quality Control/Quality Assurance Program which is in effect at the time of shipment.

No price adjustment is allowed to contractors or producers who use the program. Participation in the program does not relieve the producer of the responsibility of complying with all requirements of the *2006 Standard Specifications*. Copies of this procedure are available upon request from the Materials and Test Unit.

#### **GLASS BEADS:**

(7-18-06)(Rev 10-19-10)

R10 R35

Revise the 2006 Standard Specifications as follows:

Page 10-223, 1087-4(A) Composition, add the following as the fourth paragraph:

Glass beads shall have no more than 75 parts per million of arsenic as determined by the United States Environmental Protection Agency Method 6010B in conjunction with the United States Environmental Protection Agency Method 3052 modified.

Page 10-223, 1087-4(C) Gradation & Roundness, delete the last paragraph and replace the second sentence of the first paragraph with the following:

All Drop-On and Intermixed Glass Beads shall be tested in accordance with ASTM D1155.

Page 10-226, 1087-8 Material Certification, add the following below the first sentence:

Glass Beads (for paint, thermoplastic and polyurea) – Type 3 Material Certification for no more than 75 parts per million of arsenic

# PAVEMENT MARKING LINES:

(11-21-06) (Rev. 08-17-10)

R12 R01

Revise the 2006 Standard Specifications as follows:

Page 12-2, 1205-3(D) Time Limitations for Replacement, add the following at the beginning of the chart:

Facility Type	Marking Type	Replacement Deadline
Full-control-of-access multi-lane roadway (4 or more total lanes) and ramps,		By the end of each workday's operation if the lane is opened to traffic
including Interstates		

Page 12-5, 1205-3 (H) Observation Period, delete 1205-3 (H) and replace with the following:

Maintain responsibility for debonding and color of the pavement markings during a 12 month observation period beginning upon final acceptance of the project as defined under Article 105-17. Guarantee the markings under the payment and performance bond in accordance with Article 105-17.

During the 12 month observation period, provide pavement marking material that shows no signs of failure due to blistering, chipping, bleeding, discoloration, smearing or spreading under heat or poor adhesion to the pavement materials. Pavement markings that debond due to snowplowing will not be considered a failed marking. Replace, at no additional expense to the Department, any pavement markings that do not perform satisfactorily under traffic during the 12 month observation period.

Page 12-8, 1205-4 (C) Application, delete the last two sentences of the second paragraph and replace with the following:

Produce in place markings with minimum retroreflective values shown below, as obtained with a LTL 2000 Retroreflectometer or Department approved mobile retroreflectometer. Retroreflective measurements will be taken within 30 days after final placement of the pavement marking.

Page 12-9, 1205-4 (D) Observation Period, delete the entire section and replace with the following:

In addition to the requirements of Subarticle 1205-3(H), maintain responsibility for minimum retroreflective values for a 30-day period beginning upon the Engineer's acceptance of all markings on the project. Guarantee retroreflective values of the markings during the 30-day period under the payment and performance bond in accordance with Article 105-17.

Page 12-9, 1205-5 (B) Application, delete the second sentence of the fourth paragraph and replace with the following:

Produce in place markings with minimum retroreflective values shown below, as obtained with a LTL 2000 Retroreflectometer or Department approved mobile retroreflectometer. Retroreflective measurements will be taken within 30 days after final placement of the pavement marking.

Page 12-10, 1205-5 (C) Observation Period, delete this entire section and replace with the following:

Maintain responsibility for minimum retroreflective values for a 30-day period beginning upon satisfactory final placement of all markings on the project. Guarantee retroreflective values of the markings during the 30-day period under the payment and performance bond in accordance with Article 105-17.

Page 12-14, Article 1205-9, Maintenance, delete Article 1205-9 and replace with the following:

Replace pavement markings that prematurely deteriorate, fail to adhere to the pavement, lack reflectorization, or are otherwise unsatisfactory during the life of the project or during the 12 month observation period as determined by the Engineer at no cost to the Department.

Upon notification from the Engineer, winterize the project by placing an initial or additional application of paint pavement marking lines in accordance with Article 1205-8. Payment for *Paint Pavement Marking Lines* required to winterize the project will be made in accordance with Article 1205-10 except that no payment will be made on resurfacing projects where paving is completed more than 30 days prior to the written notification by the Department that winterization is required.

Page 12-14, Article 1205-10, Measurement and Payment, add the following after the first sentence of the first paragraph:

In addition, *Paint Pavement Marking Lines* will be paid per linear foot for each 15 mil application placed in accordance with Subarticle 1205-8(C).

# **TRAFFIC CONTROL:**

(10-21-08) RWZ-1

Maintain traffic in accordance with Divisions 10, 11 and 12 of the Standard Specifications and the following provisions:

Use a lane closure (refer to the *Roadway Standard Drawings* Nos. 1101.02, 1101.11, 1110.02, 1130.01 and details for the Advance Work Zone signing in contract) or a slow-moving operation as shown in details of this contract. Use a moving operation only if the minimum speed maintained at all times is 3 mph with no stops that narrow or close a lane of travel. If the moving operation is progressing slower than 3 mph at any time, install a lane closure. Maintain the existing traffic pattern at all times, except in the immediate work zone where lane closures are allowed as determined by the Engineer.

Refer to the *Roadway Standard Drawings* Nos. 1101.02, 1101.03, 1101.04, 1101.05, 1101.11, 1110.01, 1110.02, 1115.01, 1130.01, 1135.01, 1145.01, 1150.01, 1165.01, 1170.01 and 1180.01 when closing a lane of travel in a stationary work zone such as pavement patching resurfacing, or pavement marking removal. Properly ballasted cones may be used instead of drums for lane closures during daylight hours. However, drums are required for the upstream taper portion of lane closures in all applications. The stationary work zone shall be a maximum of 3 miles in length at any given time unless otherwise directed by the Engineer. A pilot vehicle operation may be used in conjunction with flaggers and the appropriate pilot vehicle warning signing as directed by the Engineer. During periods of construction inactivity, return the traffic pattern to the existing alignment and remove or cover any work zone signs. When covering work zone signs, use an opaque material that prevents reading of the sign at night by a driver using high beam headlights. Use material, which does not damage the sign sheeting. Replace any obliterated markings as required by other sections of the *Standard Specifications* and the Engineer.

When personnel and equipment are working on the shoulder adjacent to an undivided facility and within 5 feet of an open travel lane, close the nearest open travel lane using the *Roadway Standard Drawings* No. 1101.02 unless the work area is protected by barrier or guardrail. When personnel and equipment are working on the shoulder, adjacent to a divided facility and within 10 feet of an open travel lane, close the nearest open travel lane using the *Roadway Standard Drawings* No. 1101.02 unless the work area is protected by barrier or guardrail. When personnel and equipment are working within a lane of travel of an undivided or divided facility, close the lane according to the traffic control plans, *Roadway Standard Drawings* or as directed by the Engineer. Conduct the work so that all personnel and equipment remain within the closed travel lane. Do not work simultaneously, on both sides of an open travel way, within the same location, on a two-lane, two-way road. Do not perform work involving heavy equipment within 15 feet of the edge of travel way when work is being performed behind a lane closure on the opposite side of the travel way. Perform work only when weather and visibility conditions allow safe operations as directed by the Engineer.

Do not exceed a difference of 2 inches in elevation between open lanes of traffic for nominal lifts of 1.5 inches. Install advance warning UNEVEN LANES signs (W8-11 at 48" X 48") 500 feet in advance and a minimum of once every half mile throughout the uneven area.

Backfill at a 6:1 slope up to the edge and elevation of existing pavement in areas adjacent to an open travel lane that has an edge of pavement drop-off as follows:

- (A) Drop-off that exceeds 2 inches on roadways with posted speed limits of 45 mph or greater
- (B) Drop-off that exceeds 3 inches on roadways with posted speed limit less than 45 mph.

Backfill the unacceptable drop-off with suitable compacted material, as approved by the Engineer, at no expense to the Department. This work is not considered part of shoulder reconstruction.

When utilizing a slow-moving operation for such items as pavement marking placement, pavement marker installation and pesticide spraying, the slow moving operation caravan shall consist, as a minimum, of the vehicles and devices shown on the Moving Operation Caravan Detail(s) herein. Traffic cones may be used when necessary to provide additional protection of wet pavement markings. Ballast all traffic cones so they will not be blown over by traffic.

Submit a written sequence of operation for all maps to the Engineer at the first pre-construction meeting for approval by the Engineer. Approved sequence cannot be altered without written permission of the Engineer.

Notify the Engineer 48 hours before milling or resurfacing will interfere with the existing Signal Loops. Loops may need to be placed in milled surface before resurfacing occurs. Coordinate all signal loop operations with the Engineer.

Notify the Engineer 15 consecutive calendar days before resurfacing a bridge or its approaches. Patch and make repairs to bridge surface and its approaches before resurfacing occurs. Coordinate all operations on the bridge and its approaches with the Engineer.

Notify the Engineer 48 hours before resurfacing the areas of existing pavement that require patching. Patch these areas before resurfacing occurs. Allow full depth asphalt patching to cool to the point of supporting traffic without

displacement or rutting before reopening closed lane. Coordinate the resurfacing operations of the patched areas with the Engineer.

During a resurfacing only operation, bring all newly resurfaced lanes to the same elevation within 72 hours.

For partial or wheel track milling operations on two-way, two-lane facilities, mill and pave back by the end of each work day. For partial or wheel track milling operations on multi-lane facilities, the lane being milled may be left closed and paved back within 72 hours.

The following options are acceptable during Resurfacing and milling operations on two-way, two-lane facilities when the entire roadway or entire lane is to be milled:

- (A) Mill a single lane and pave back by the end of each work day.
- (B) Mill the entire width of roadway and pave back within 72 hours.

The following options are available during Resurfacing and milling operations on multi-lane facilities when all lanes or a single lane in one direction are to be milled:

- (A) Mill the entire width of pavement for all lanes to be milled in any direction daily and pave back within 72 hours.
- (B) Mill a single lane and pave back by the end of each work day.
- (C) Mill a single lane, leave a lane closure in and pave back within 72 hours.

When resurfacing facilities with ramps, resurface the ramp and gore area of the ramp as directed by the Engineer. A transverse joint shall be placed on the ramp at the terminal point of the gore. Newly resurfaced lanes on the main roadway and the ramp shall be at the same elevation where traffic merges.

Slope the pavement at the beginning and ending of the daily milling operation as directed by the Engineer. Sweep and remove all milled material from the roadway as soon as the daily milling operation is completed. Continue milling operations until the particular section of roadway being milled is complete. Remove any existing pavement adjacent to the milled area that has been damaged, and replace with patch material as directed by the Engineer.

Maintain vehicular access in accordance with Section 1101-13 of the *Standard Specifications* using suitable backfill material approved by the Engineer.

Operate equipment and conduct operations in the same direction as the flow of traffic. Do not cross medians with equipment, except at properly designated interchanges.

Review and record the existing pavement markings and markers prior to resurfacing. Use the record of existing pavement markings and markers in conjunction with the *Roadway Standard Drawings* to re-establish the proposed pavement markings and markers unless otherwise directed by the Engineer.

Provide appropriate lighting in accordance with Section 1413 of the Standard Specifications.

Remove existing pavement markers in preparation for paving. Repair any pavement damage due to existing pavement marker removal prior to the end of the work day. Dispose of existing pavement markers as directed by the Engineer. No direct payment will be made for this work, as it will be incidental to the paving operation.

No direct payment will be made for providing other traffic control as required herein, as the cost of same will be considered incidental to the work being paid for under those various traffic control items that have been included. Where the Contractor maintains traffic as required herein but no specific pay items have been included in the contract, all associated costs will be considered incidental to the work being paid for under the various items in the contract.

# **Work Zone Traffic Control:**

(7-19-11) SP11 R20

Revise the 2006 Standard Specifications as follows:

# Page 11-3, Article 1101-12 Traffic Control Supervision, in addition to the stated requirements, add the following:

Provide the service of at least one qualified Work Zone Supervisor. The Work Zone Supervisor shall have the overall responsibility for the proper implementation of the traffic management plan, as well as ensuring all employees working inside the NCDOT Right of Way have received the proper training appropriate to the job decisions each individual is required to make.

The work zone supervisor is not required to be on site at all times but must make periodic project reviews and be available to address concerns of the Engineer. The name and contact information of the work zone supervisor shall be provided to the Engineer prior to or at the preconstruction conference.

Qualification of Work Zone Supervisors shall be done by an NCDOT approved training agency or other approved training provider. For a complete listing of these, see the Work Zone Traffic Control's webpage, http://www.ncdot.gov/doh/preconstruct/wztc/.

# Page 11-13, Article 1150-3 Construction Methods, replace the article with the following:

Provide the service of properly equipped and qualified flaggers (see *Roadway Standard Drawings* No. 1150.01) at locations and times for such period as necessary for the control and protection of vehicular and pedestrian traffic. Anyone who controls traffic is required to be qualified. Qualification consists of each flagger receiving proper training in the set-up and techniques of safely and competently performing a flagging operation. Qualification of flaggers is to be done at an NCDOT approved training agency. For a complete listing of these, see the Work Zone Traffic Control's webpage, http://www.ncdot.gov/doh/preconstruct/wztc/.

Prior to beginning work on the project, a Qualification Statement that all flaggers used on the project have been properly trained through an NCDOT approved training resource shall be provided to the Engineer.

Flagging operations are not allowed for the convenience of the Contractor's operations. However, if safety issues exist (i.e. sight or stopping sight distance), the Engineer may approve the use of flagging operations. Use flagging methods that comply with the guidelines in the MUTCD.

# **WORK ZONE SIGNING:**

(10-21-08)(Revised 4-20-09)

RWZ-3

# Description

Install and maintain signing in accordance with Divisions 11 and 12 of the *Standard Specifications*, the *Roadway Standard Drawings* and the following provisions:

Furnish, install, maintain, and remove advance warning work zone signs and any required lane closure signing.

Furnish, install, and maintain general work zone warning signs for resurfacing and milling such as ROUGH ROAD (W8-8 at 48" X 48") (for milling only), UNEVEN LANES (W8-11 at 48" X 48"), LOW SHOULDER (W8-9 at 48" X 48"), LOW / SOFT SHOULDER (DOT No. 16-79860 at 48" X 48"), UNMARKED PAVEMENT AHEAD (DOT No. 116087130 at 48" X 48") and DO NOT PASS (R4-1 at 24" X 30"). When construction is completed in any area of the project, relocate signs to the next work site, as directed by the Engineer. Remove these signs when the condition requiring signs, no longer applies. All work zone signs may be portable.

#### **Construction Methods**

#### (A) General

Install all warning work zone signs before beginning work on a particular map. If signs are installed three days prior to the beginning of work on a particular map, cover the signs until the work begins. Install each work zone warning sign separately and not on the same post or stand with any other sign except where an advisory speed plate or directional arrow is used.

# (B) Advance Warning Work Zone Signs

Install advance warning work zone signs prior to beginning of work and remove upon final completion of the project. If there is a period of construction inactivity longer than two weeks, remove or cover advance warning work zone signs. Uncover advance warning work zone signs no more than 3 days before work resumes. All other operations could be suspended upon failure to comply with the above requirements. Such suspended operations would not be resumed until the above requirements are fulfilled.

# (C) Lane Closure Work Zone Signs

Install any required lane closure signing needed during the life of the project in accordance with the *Roadway Standard Drawings* Nos. 1101.02, 1101.11 and 1110.02.

# (D) General Work Zone Warning Signs

Install general work zone warning signs for resurfacing and milling such as ROUGH ROAD (W8-8 at 48" X 48") (for milling only), UNEVEN LANES (W8-11 at 48" X 48"), LOW SHOULDER (W8-9 at 48" X 48") and LOW / SOFT SHOULDER (W8-9B at 48" X 48") at 1 mile intervals starting at a minimum of 500 feet in advance of the condition for both directions of travel (undivided roadways only) and at any other points determined by the Engineer.

Install the LOW SHOULDER (W8-9 at 48" X 48") or LOW / SOFT SHOULDER (DOT No. 16-79860 at 48" X 48") signs prior to any resurfacing in an area where shoulder construction will be performed.

Install general work zone warning signs such as UNMARKED PAVEMENT AHEAD (DOT No. 116087130 at 48" X 48") and DO NOT PASS (R4-1 at 24" X 30") alternately at 1/2 mile intervals starting at a minimum of 500 feet in advance of the condition for both directions of travel (undivided roadways only) and at any other points determined by the Engineer. Install signs prior to the obliteration of any pavement markings and remove when permanent markings are in place.

Failure to provide Work Zone Signing shall result in suspension of work on the improperly signed map until the proper signs are installed. Failure to remove or cover signs not in use for more than two weeks shall result in the assessment of Liquidated Damages as determined in the Contract. These Liquidated Damages shall be <u>in addition</u> to any other assessment of Liquidated Damages.

# **Measurement and Payment**

No direct payment will be made for Work Zone Signing as such work will be considered incidental to the various other bid items in the contract.

# TIME LIMITATION FOR PAVEMENT MARKINGS AND MARKERS ON NEWLY RESURFACED AREAS:

(12-18-07) (Rev. 08-17-10)Div. 9 7/1/11

RWZ-4

# Markings: Two-Lane, Two-Way Facilities

For all two-lane, two-way facilities, place all edge lines and other symbols within 15 calendar days after they have been obliterated by the resurfacing operation.

# **Markings: Center Lines**

For all center lines, place all lines within 5 calendar days after they have been obliterated by the resurfacing operation.

# **Markings: All Facilities**

The observation period for pavement markings on a specific map are subject to all requirements as specified in the Project Special Provision entitled "PAVEMENT MARKING LINES" contained elsewhere in the contract and begins with the satisfactory completion of all pavement markings required on that specific map.

Any portion of stop bars that are obliterated at intersections of a multilane roadway and all its approaches shall be replaced by the end of each work day prior to opening the lane to traffic. Any portion of stop bars that are obliterated at 2-lane 2-way roadway intersections shall be replaced by the end of 5<sup>th</sup> calendar day.

Prior to opening the lane(s) to traffic, all pavement markings that are obliterated by milling should be replaced as specified in Subarticle 1205-3(D) Time Limitations for Replacement of the *Standard Specifications* or as stated herein.

Final pavement marking applications of paint shall be placed in 2 applications of 15 mils wet each. Each application of paint pavement marking lines will be measured and paid for as the actual number of linear feet of pavement marking lines that have been satisfactorily placed and accepted by the Engineer.

Failure to follow the time limitation as previously established in this Special Provision shall result in the assessment of liquidated damages as determined in the Contract Time and suspension of all other work activities until all the pavement markings are up to date. These Liquidated Damages shall be <u>in addition to</u> any other assessment of liquidated damages.

# ROADWAY STANDARD DRAWINGS FOR PAVEMENT MARKINGS AND MARKERS:

(7-18-06) RWZ-5

Use the following in conjunction with the Standard Specifications:

**Standard Pavement Markings**Roadway Standard Drawings:

1205.01, 1205.02, 1205.03, 1205.04, 1205.05, 1205.06, 1205.07,

1205.08, 1205.09, 1205.10, 1205.11, 1205.12

# **SHOULDER RECONSTRUCTION PER SHOULDER MILE:**

(1-18-00) (Rev 5-17-11) Rev Div. 9 6/17/11

R1 R07 B

All shoulder reconstruction including seeding and mulching will be performed by State forces.

# **INCIDENTAL STONE BASE:**

(7-1-95) (Rev.7-18-06) Rev Div. 9 6/17/11

R5 R28

All incidental stone base for driveways, mailboxes, etc., will be provided by and placed by State forces.

# **MISCELLANEOUS**

All work items necessary to complete the work other than listed on the "Bid Proposal Form" will be considered incidental in nature and no further compensation will be made. Any work performed in an unsatisfactory manner could be basis for cancellation of the contract.

All work performed by the contractor shall be in compliance with the Standard Specifications and workmanship/Appearance done to the satisfaction of the Engineer.

# PRICE ADJUSTMENT - ASPHALT BINDER FOR PLANT MIX:

 $\overline{(11-21-00)}$ 

SP6 R25

Price adjustments for asphalt binder for plant mix will be made in accordance with Section 620 of the *Standard Specifications*.

The base price index for asphalt binder for plant mix is \$581.07 per ton.

This base price index represents an average of F.O.B. selling prices of asphalt binder at supplier's terminals on **September 1, 2011**.

# STANDARD SPECIAL PROVISION AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS

(5-20-08) Z-2

General Statute 143C-6-11. (h) Highway Appropriation is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in General Statute 143C-6-11(c). Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Article 108-13(E), of the *North Carolina Department of Transportation Standard Specifications* for Roads and Structures, dated July 1, 2006.

# REQUESTS FOR QUOTATIONS WERE SENT TO THE FOLLOWING:

ATTN: PHIL HARRIS Larco Construction Company 4130 N GLENN AVE Winston-Salem, NC 27105-6296 (336) 767-3500

ATTN: TIM MOORE Thompson-Arthur Paving Co., Division of APAC-Carolina, Inc. 280 Asphalt Drive Winston-Salem, NC 27105 (336) 706-9977

ATTN: A. JAMES RUSSELL J.T. Russell & Sons, Inc. 1721 US 52 HWY N Albemarle, NC 28001 (704) 982-2225

ATTN: ERIC FERGUSON Yadkin Valley 121 Cloverleaf Dr. Winston-Salem, NC 27103 (336)765-7900 ATTN: John Pritchard Hanes Construction Co. PO Box 237 Lexington, NC 27293 (336) 956-3000

Association of General Contractors (EMAIL)

Mr. Thomas Burt (EMAIL) NCDOT

Mr. Michael McKoy (EMAIL) NCDOT State Contractor Utilization Engineer

Ms. Tammy Gabriel (EMAIL) NCDOT Civil Rights Office

Mr. Reginald McNeill (EMAIL) NCDOT Civil Rights Office

Division 9 Web Page: <a href="http://www.ncdot.org/doh/operations/division9/div9bid.html">http://www.ncdot.org/doh/operations/division9/div9bid.html</a>

ontract No		Rev 4-19-11
ounty		
NON-COLLUSION AFFIDAVI	EXECUTION T, DEBARMENT CERT	OF BID TFICATION AND GIFT BAN CERTIFICATION
	CORPORA	TION
fficial, agent or employee of the bidd ction which is in restraint of free cor	er has entered into any agree mpetitive bidding in connect 24 within the last three year	sworn, solemnly swears (or affirms) that neither he, nor any ement, participated in any collusion, or otherwise taken any tion with any bid or contract, that the bidder has not been rs, and that the Bidder intends to do the work with its own effit of another contractor.
n addition, execution of this bid in the inder the laws of the United States in Certification also includes any required	n accordance with the Deba	es the Bidder's certification of status under penalty of perjury arment Certification attached, provided that the Debarment stions that are applicable.
with a contract with the State, or from	any person seeking to do bu corganization and its employ	acceptance by, any State Employee of any gift from anyone usiness with the State. By execution of any response in this yees or agents, that you are not aware that any such gift has lization.
	SIGNATURE OF C	ONTRACTOR
	Full name of Co	moraina
		,
<u> </u>		
	Address as Pres	qualifi <b>ed</b>
Attest	D.	
Secretary/Assistant Se	×retary B	President/Vice President/Assistant Vice President
Select appropriate	title	Select appropriate title
		•
Print or type Signer's	77.7 ***	
remain type Signers	uarite	Print or type Signer's name
		CORPORATE SEAL
A	FFIDAVIT MUST I	BE NOTARIZED
Subscribed and swom to befo	re me this the	
day of	20	
		NOTARY SEAL
Signature of Notary	Public	- <del> </del>
of	County	

State of \_\_\_\_\_

My Commission Expires:

Contract No		Rev 4-19-11
County		
NON-COLLUSION AFFIDAVIT, DEBARMEN	CUTION OF NT CERTIFIC	
PA	artnershi	P
The person executing the bid, on behalf of the Bid he, nor any official, agent or employee of the bidder otherwise taken any action which is in restraint of that the bidder has not been convicted of violating intends to do the work with its own bonafide emanother contractor.	r has entered in free competitive N.C.G.S. § 133	to any agreement, participated in any collusion, or we bidding in connection with any bid or contract, -24 within the last three years, and that the Bidder
In addition, execution of this bid in the proper menalty of perjury under the laws of the United Sprovided that the Debarment Certification also in applicable.	States in accor	dance with the Debarment Certification attached.
N.C.G.S. § 133-32 and Executive Order 24 prohib from anyone with a contract with the State, or from of any response in this procurement, you attest, for not aware that any such gift has been offered, accept	n any person so your entire org	exking to do business with the State. By execution
SIGNATUR	LE OF CON	TRACTOR
Full ?	Name of Partner	Ship
Add	lress as Prequalif	ied
Pi	By	
Signature of Witness		Signature of Partner
Print or type Signer's name	<del></del>	Print or type Signer's name
AFFIDAVIT	MUST BE	NOTARIZED
Subscribed and sworn to before me this the	e	NOTARY SEAL
day of 20	0	
Signature of Notary Public		

of \_\_\_\_\_County

State of \_\_\_\_

My Commission Expires:

Contract No		Rey 4-19-11
County		Rev +-17-11
NON-COLLUSION AFFIDAVIT, DI	EXECUTION EBARMENT CER	N OF BID RTIFICATION AND GIFT BAN CERTIFICATION
LIM	MITED LIABIL	ITY COMPANY
he, nor any official, agent or employee of otherwise taken any action which is in nathat the bidder has not been convicted of	f the bidder has ent estraint of free con violating N.C.G.S.	ng duly sworn, solemnly swears (or affirms) that neither cered into any agreement, participated in any collusion, or npetitive bidding in connection with any bid or contract, § 133-24 within the last three years, and that the Bidder or subcontractors and is not bidding for the benefit of
penalty of perjury under the laws of th	ie United States in	lso constitutes the Bidder's certification of status under accordance with the Debarment Certification attached, any required statements concerning exceptions that are
from anyone with a contract with the Str of any response in this procurement, you not aware that any such gift has been off	ate, or from any per attest, for your ent fered, accepted, or p	fer to, or acceptance by, any State Employee of any gift rson seeking to do business with the State. By execution tire organization and its employees or agents, that you are promised by any employees of your organization.  CONTRACTOR
	Full Name	e of Firm
	Address as P	requalified
Signature of Witness	34	Signature of Member/Manager/Authorized Agent Select appropriate title
Print or type Signer's name	•	Print or type Signer's Name
AFF	IDAVIT MUST	T BE NOTARIZED
Subscribed and sworn to before n		NOTARY SEAL
day of	20 .	

Subscribed and sworn to before	ne this the	NOTARY
day of	20	
Signature of Notary Pub	lic	
of	County	
State of		
My Commission Expires:		

12 mg	41	10.1	1

Contract No	
County	

# EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION JOINT VENTURE (2) or (3)

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

# SIGNATURE OF CONTRACTOR

Instructions 2 Joint Venturers Fill in lines (1), (2) and (3) and execute 3 Joint Venturers Fill in lines (1), (2), (3) and (4) and execute On Line (1), fill in the name of the Joint Venture Company. On Line (2), fill in the name of the joint venturers and execute below in the appropriate manner. On Line (3), print or type the name of the other joint venturer and execute below in the appropriate manner. On Line (4), fill in the name of the third joint venturer, if applicable and execute below in the appropriate manner.

(2)		Name of Joint Venture	
•		Name of Contractor	
•		Address as Prequalified	The second secon
•	Signature of Witness or Attest	Ву	Signature of Contractor
	Print or type Signer's name		Print or type Signer's name
	If Corporation, affix Carporate Seal	and	
(3)			
		Name of Contractor	
		Address as Prequalified	
	Signature of Witness or Attest	Ву	Signature of Contractor
	Print or type Signer's name		Print or type Signer's name
	If Corporation, affix Corporate Seal	and	
(4)			
		Name of Contractor (for 3 Joint Venture	only)
		Address as Prequalified	
		7	
	Signature of Wriness or Attest	Ву	Signature of Contractor
	Signature of Witness or Attest Print or type Signer's name		Signature of Contractor  Print or type Signer's name
4 BV PF	Print or type Signer's name  If Corporation, affix Corporate Seal	Ву	Print or type Signer's name
ARY SEA	Print or type Signer's name  If Corporation, affix Corporate Seal  AL	By	Print or type Signer's name  NOTARY SE.
ovit musi cribed ar	Print or type Signer's name  If Corporation, affix Corporate Seal  AL  If be notarized for Line (2)  and sworn to before me this	Ву	Print or type Signer's name  NOTARY SE  Affidavit must be notarized for Line (4)
ovit musi cribed ar	Print or type Signer's name  If Corporation, affix Corporate Seal  AL  If be notarized for Line (2)	By  NOTARY SEAL  Affidavit must be notarized for Line (3)	Print or type Signer's name  NOTARY SE  Affidavit must be notarized for Line (4)  Subscribed and sworn to before me this
cribed ar day of	Print or type Signer's name  If Corporation, affix Corporate Seal  AL  If be interced for Line (2)  Ind sworn to before me this  20  Notary Public	NOTARY SEAL Affidavit must be notarized for Line (3): Subscribed and sworn to before me this day of	Print or type Signer's name  NOTARY SE  Affidovit must be notorized for Line (4)  Subscribed and sworn to before me this  day of
cribed ar day of	Print or type Signer's name  If Corporation. affix Corporate Seal  AL  If be notarized for Line (2)  Ind sworn to before me this  20  Notary Public  County	NOTARY SEAL Affidavit must be notarized for Line (3) Subscribed and sworn to before me this day of2	Print or type Signer's name  NOTARY SE  Affidovit must be notorized for Line (4)  Subscribed and sworn to before me this  day of

Contract No Rev 4-19-11
Соилу
EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION
INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME
The person executing the bid, on behalf of the Bidder, being duly swom, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.
In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.
N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.
SIGNATURE OF CONTRACTOR
Name of Contractor
Individual name

# 

My Commission Expires:

Rev	4	1Q.1	٠,
Kev	4-	1 W - 1	Ι.

Contract No	
County	

# EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

# INDIVIDUAL DOING BUSINESS IN HIS OWN NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

COMMETOR
Print or type Individual name
Prequalified
Signature of Contractor, Individually
Print or type Signer's Name
<i>3.</i> 3
_
T BE NOTARIZED
NOTARY SEAL

Comract No	
County	

#### DEBARMENT CERTIFICATION

# Conditions for certification:

- The prequalified bidder shall provide immediate written notice to the Municipality if at any
  time the bidder learns that his certification was erroneous when he submitted his debarment
  certification or explanation filed with the Municipality, or has become erroneous because of
  changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Municipality project representative.
- 3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in Municipal contracts, unless authorized by the Municipality.
- 4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273) provided by the Municipality, without subsequent modification, in all lower tier covered transactions.
- 5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 6 herein, the Municipality may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

Contract No		
County		

#### DEBARMENT CERTIFICATION

Rev 4-19-11

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Check here if an explanation is attached to this certification.
---

# Listing of MB/ WB Subcontractors

	MB	Line Item		10-11	
Firm Name, Address, Contact,	or	No. (De Not	; -	Agreed Opon	Total Price(3)
Phone No.	WB	Enter Range)	Item Description	Unit Frice(3)	00 000 C3
va Truck Houling Company	WB	ئىن	xyz Trucks Hauling Material	363/hr	00:000', 8
OOOO Committees Croot			Tandem	\$68/hr	
UNIO COMETINE DI COL		<u> </u>	Triaxle	\$75/hr	
Winsion-salem, INC 27103		1			
Mr/Ms XYZ			And the second s		
(336)222-3333					
	2/10	J	XYZ Performing Some type	\$50/LF	21,000 00
xyz Company	WB	_	OCIT Work		
0000 Pending Street			U LT TURK	- Charles - Char	
Salisbury, NC 11111		_1	The state of the s		
Mr/Ms XYZ					
(704)444-6666					
				A 01/0000	\$12,000.00
ABC Company	MB	7	ABC Structure Adjustment	Va Mace	
Ond Communica Street	-  - 	-	Concrete		
CONTRACTOR OF THE PARTY OF THE		<u> </u>	Asphalt		
Lexington, NC 2/292		١.			
Mr/Ms XYZ					
(336)555-6666		_1_			
			CDS Whotever Material	NT/582	54,000.00
CDF Company	MΒ	15	CDE WINEVEL MINES		
0000 Somewhere Street		<u>. J:</u>			
Mocksville, NC 27103					
Mr/Ms XYZ					
(336)333-4444					
age of "Total" Contract - Committed for WB Sub age of "Total" Contract - Committed for MB Sub	Bid Price: contractor: Bid Price: contractor:		2 % Example: To 8,000.00 (\$400.00 00 × 2%) 4 % 5 16,000.00 (\$400.00 00 × 2%)	Example: Total Contract Bid Price \$400,000.00 (\$400,00 00 × 2%)	Price <b>\$400,000.00</b>

\*\*This form shall be included with bid submittal. Failure to complete will result in nonresponsive bid and shall be rejected \*\*

Listing of MB/ WB Subcontractors

Firm Name, Address, Contact,	MIB or WB	Line Item No. (Do Not Enter Range)	Item Description	Agreed Upon Unit Price(S)	Total Price(S) (Dollars Committed/Inc stem)	
						Т-
						T
						F
						- 1
						1
						т-
						1
						1
						1
And the state of t		•				_
					AMERICA CONTRACTOR OF THE PROPERTY OF THE PROP	_
			- Andrewson			_
						_
		1				_
						τ-
						~
						<del>-</del>
		Ŧ				_
			Management of the second secon			_
					ſ	
WR Percentage of "Total" Contract	Bid Price:	ice:	%			
	contra		S			
		1	%			

\*\*This form shall be included with bid submittal. Failure to complete will result in nonresponsive bid and shall be rejected \*\*

MB Percentage of "Total" Contract Bid Price: Total Dollar Committed for MB Subcontractor:

# State of North Carolina Department of Transportation Subcontractor Payment Information

Cut		with	1000	.:
341	т	WILD	INV	NCR

Firm Invoice N	lo. Reference		1231	_			
NCDOT PO / C	Contract Number	360	0001234	_		EXAM	IPLE
WBS No. (Stat	te Project No.)	4	10491	_			
Date of Invoice	8	12/	11/2007	- -			
Signed		John	n Doe	_			
Invoice Line Item Reference (from PO)	Payer Name	Payer Federal Tax ID	Subcontractor/ Subconsultant Material Supplier Name	Subcontractor/ Subconsultant Material Supplier Federal Tax ID	Si Si Ma	nount Paid To ubcontractor/ ubconsultant derial Supplier This Invoice	Date Paid To Subcontractor/ Subconsultant Material Supplier This Invoice
Example 1							
10 60	CDF Company	123-45-6789	ABC Company	987-65-4321	\$	7,000.00	11/16/2007
	CDF Company	456-78-9123	DEF Paving	789-12-3456	\$	1,000.00	11/17/2007
	Subcontractor/S	ubconsultant pa	articipation, please	submit form as	show	n below in Ex	cample 2
Example 2	Subcontractor/S	ubconsultant pa	articipation, please	submit form as	show		cample 2
If no S Example 2	Subcontractor/S	ubconsuitant pa	articipation, please	submit form as	show	n below in Ex	(ample 2
Example 2	Subcontractor/S	ubconsuitant pa	articipation, please	submit form as			Example 2
Example 2			articipation, please			0.00	
N/A NOTE: - These certify that the	Total Amo	ount Paid To Su		ase do not highlig	Exar \$ ht or s	0.00  mple 1  8,000.00  hade the figur	Example 2 \$0.00 es.

# State of North Carolina Department of Transportation Subcontractor Payment Information

Submit with	Invoice					
irm Invoice No						
	Contract Number					
VBS No. (Stat	le Project No.)					
Date of invoice	2					
Signed						
Invoice Line Item Reference	Payer Name	Payer Federal Tax ID	Subcontractor/ Subconsultant Material Supplier Name	Subcontractor/ Subconsultant Material Supplier Federal Tax ID	Amount Paid To Subcontractor/ Subconsultant Material Supplier This Invoice	Date Paid To Subcontractor/ Subconsultant Material Supplier This Invoice
	Total Amo	ount Paid To Su	bcontractor Firm		\$	_
					light or shade the i	
I certify that Subcontract	this information tors/Subconsulta	accurately reflec nts/Material Supp	ts actual payments pliers on the above	made and the dates referenced project.	s the payments wer	e made to
		Signature		Title		
	<u>.</u>	Print Name		Date		

# SUBSTITUTE FORM W-9

# VENDOR REGISTRATION FORM NORTH CAROLINA DEPARTMENT OF TRANSPORTATION

Pursuant to Internal Revenue Service (IRS) Regulations, vendors must furnish their Taxpayer Identification Number (TIN) to the State. If this number is not provided, you may be subject to a 20% withholding on each payment. To avoid this 20% withholding and to insure that accurate tax information is reported to the Internal Revenue Service and the State, please use this form to provide the requested information exactly as it appears on file with the IRS.

INDIVIDUAL AND SOLE PROPRIETOR: ENTER NAME AS SHOWN ON SOCIAL SECURITY CARD

CORPORATION OR PARTNERSHIP : ENTER YOUR LEGAL BUSINESS NAME

NAME: MAILING ADDRESS: STREET/PO BOX: CITY, STATE, ZIP: DBA / TRADE NAME (IF APPLICABLE): BUSINESS DESIGNATION: INDIVIDUAL (use Social Security No.) SOLE PROPRIETER (use SS No. or Fed ID No.) CORPORATION (use Federal ID No.) PARTNERSHIP (use Federal ID No.) ☐ ESTATE/TRUST (use Federal ID no.) STATE OR LOCAL GOVT. (use Federal ID No.) ☐ OTHER / SPECIFY SOCIAL SECURITY NO. (Social Security #) (Employer Identification #) COMPLETE THIS SECTION IF PAYMENTS ARE MADE TO AN ADDRESS OTHER THAN THE ONE LISTED ABOVE: REMIT TO ADDRESS: STREET / PO BOX: CITY, STATE, ZIP: Participation in this section is voluntary. You are not required to complete this section to become a registered vendor. The information below will in so way affect the vendor registration process. and its sole purpose is to collect statistical data on those vendors doing business with NCDOT. If you choose to participate, circle the answer that best fits your firm's group definition. What is your firm's ethnicity? ( Prefer Not To Answer, African American, Native American, Caucasian American, Asian American, Hispanic American, Asian-Indian American, Other: What is your firm's gender? ( Prefer Not to Answer, Male, Female) Disabled-Owned Business? ( Prefer Not to Answer, Yes. No) Under penalties of perjury, I certify that: The number shown on this form is my correct taxpayer identification and I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and I am a U.S. person (including a U.S. resident alien). The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding. For complete certification instructions please see IRS FORM W-9 at <a href="http://www.irs.gov/pub/firs-pdf/f/w9.pdf">http://www.irs.gov/pub/firs-pdf/f/w9.pdf</a>. NAME (Print or Type) TITLE (Print or Type) SIGNATURE DATE PHONE NUMBER To avoid payment delays, completed forms should be returned promptly to:

> NC Department of Transportation Fiscal /Commercial Accounts 1514 Mail Service Center Raleigh, North Carolina 27699-1514

PHONE (919) 733-3624 FAX (919) 715-3700

LETTER OF INTENT TO	O PERFORM AS A SUBCONTRACTOR
CONTRACT:	NAME OF BIDDER:
The undersigned intends to perform work in cand subsequent award of contract by the Board	onnection with the above contract upon execution of the bid d of Transportation as:
Name of MBE/WBE/DBE Subcontractor	
Address	_
City	
·	check all that apply:
Minority Busi	ness Enterprise (MBE)
Women Busin	ness Enterprise (WBE)
Disadvantaged B	Susiness Enterprise (DBE)
listed on the attached MBE/WBE/DBE Com- upon execution of the bid and subsequent aw named subcontractor is prepared to perform	ned subcontractor is prepared to perform the described work mitment Items sheet, in connection with the above contract vard of contract by the Board of Transportation. The above the described work at the estimated Commitment Total for WBE/DBE Commitment Items sheet and amount indicated
Commitment Total based on estimated Unit Commitment Items sheet. Amount \$	Prices and Quantities on the "attached" MBE/WBE/DBE
Unit Prices and Quantities. This commitment will vary up or down as the project is complet work performed and accepted during the puentire dollar amount quoted based on these and/or other forms of non-written representation. This document shall not serve in any manner and the serve	mutually accepts the Commitment Total estimated for the total is based on estimated quantities only and most likely ed. Final compensation will be based on actual quantities of irsuance of work. The above listed amount represents the estimated quantities. No conversations, verbal agreements, ons shall serve to add, delete, or modify the terms as stated. as an actual subcontract between the two parties. A separate
subcontractor agreement will describe in a MBE/WBE/DBE subcontractor.	detail the contractual obligations of the bidder and the
Affirmation	
The above named MBE/ WBE/ DBE subco contract for the estimated dollar value as state.	ontractor affirms that it will perform the portion(s) of the d above.
Name of MBE/ WBE/ DBE Subcontractor	Name of Bidder
	W
Signature / Title	Signature / Title
Date	Date

Date

# Subcontract Approval Form (Form SAF)

- Complete the "Subcontractor Approval Form" (Form SAF) for the Subcontractor and 2<sup>nd</sup> Tier Subcontractor on one form. Additional items of work can be entered on the "Subcontractor Approval Form Attachment". If there is more than one 2<sup>nd</sup> Tier Subcontractor, it should be listed on the Subcontract Approval Form - Additional 2<sup>nd</sup> Tier" (SAF-1 Additional 2<sup>nd</sup> Tier).
- 2. If retainage is being withheld for the Subcontractor or 2<sup>nd</sup> Tier Subcontractor a place an "X" in the box to the right of "RETAINAGE."
- 3. When the proposed Subcontractor or 2<sup>nd</sup> Tier Subcontractor is a certified DBE, MBE, or WBE Subcontractor, place an "X" in the box to the right of the appropriate designation. When the proposed Subcontractor is not a certified DBE, MBE, or WBE Subcontractor, place an "X" in the box to the right of "NONE." One of the four spaces shall be marked with an "X".
- 4. When a Subcontractor is to perform all the work involved in the contract item, then the unit price for the subcontracted item must be the same as the contract unit price. When only a portion of the quantity of an item is to be sublet, the item should be indicated by the symbol (a). The physical limits of the sublet quantity shall be identified. The unit price for the item of work shall be the same as the Department's contract unit price.
  Portion of an item the Subcontractor performs all work associated with the item, but only performs the work for a part of the contract quantity. (Example: Grading from
- Station 225+00-L- to end of project.)
  5. When only a partial amount of the work for an item is to be sublet, the unit price may be less than the contract unit price and the item should be indicated by the symbol (\*). The part of the contract item to be performed by the Subcontractor shall be identified.
  Partial item of work the Subcontractor performs part of the work associated with the

contract item. (Example: Trucking Subcontractor hauling asphalt or incidental stone.)

6. The negotiated Subcontract Unit Price or lump sum price must be the actual price agreed upon between the Contractor and the Subcontractor. In the event the subcontract unit of measure differs from that in the Department's contract, the unit of measure shown on the Subcontract Approval Form shall be the same as those shown in the Department's contract. Any conversions necessary to satisfy this requirement shall be the responsibility of the Contractor. The Converted Price (CP) shall be denoted with an asterisk (\*). (Examples of converting units of measure can be found in the HICAMS User Guide, Chapter 2, Section 8A. Use the following link to view the examples.)

http://www.ncdot.org/doh/operations/dp\_chief\_eng/constructionunit/formsmanuals/UserGuide/Index\_User\_Guide.html

- The Total Subcontract Amount is the Subcontract Unit Price multiplied by the Quantity.
- 8. The Subcontract Total is the amount of the subcontract. This total is calculated by summing the Total Subcontract Amount of the line items listed for the Subcontractor. Any items listed on the Attachment sheet for the Subcontractor is also included in the Subcontract Total. The lines items for the 2nd Tier Subcontractor are are not included.
- 9. When any items requested to be sublet have been previously included in an approved subcontract, the following statement shall be included above the listing of these items: "The following items are being deleted from "Subcontract Request Number \_\_\_\_\_."
- 10. The Contractor, Subcontractor, and 2<sup>nd</sup> Tier Subcontractor (when applicable) shall sign the original Subcontract Approval Form and the Contractor shall submit the form to the Resident Engineer.



# SUBCONTRACT APPROVAL FORM

Contract No.:	F.A. No.:			Subcontract Request Number:	equest Nur	nber:			
WBS Element:	T.I.P. No.:			County:					
APPROVAL IS REQ	APPROVAL IS REQUESTED TO SUBLET THE FOLLOW	NG IT	EMS OF	FOLLOWING ITEMS OF WORK ON THIS PROJECT TO:	IS PROJE	CT TO:			
								BE MBE	Retainage DBE MBE WBE NONE
Subcontractor Name and Address	Address				Vendor No.			]	
						Retainage	1	DBE MBE	WBE NONE
2" Tier Subcontractor Name and Address	me and Address				Vendor No.	ď			
Line Code Number	Item Description	⊙ે≎	Sub or 2 <sup>nd</sup> Tier	Quantity	Unit	CP Contract Unit	-	Subcontract Unit Price	Total Subcontract Amount
	- In the second								
							$\dagger$		STATE OF THE STATE
Indicates a Portion of the Item (•)	the Item (•)	Indicate Land	ates a Pa	Indicates a Partial Item (♦)			ō ⊢	Subcontract Total:	
SUBCONTRACT CEI The Contractor / Subc in the subcontract / 2"	SUBCONTRACT CERTIFICATION (applies only to Federal projects) The Contractor / Subcontractor certifies that the subcontract is in writing and that FHWA 1273, "Required Contract Provisions," have been included in the subcontract / 2 <sup>rd</sup> tier subcontract in its entirety.	projec is in w	ts) rriting an	d that FHWA 12	273, "Requ	red Contract	Provisio	ns," have bee	n included
Contractor:					APPR	APPROVED:			
TINE:				Date		Residen	Resident Engineer	9.	Date
Subcontractor: Signature: Titte:				Date	Approrespo of the that a	wed with the unasible for the sample work in compiled payments will	nderstan atisfacto ance wit	Approved with the understanding that the Contractor will responsible for the satisfactory performance and comple of the work in compliance with the terms of the contract that all payments will be made directly to the Contractor.	Approved with the understanding that the Contractor will be responsible for the satisfactory performance and completion of the work in compliance with the terms of the contract and that all payments will be made directly to the Contractor.
2 <sup>rd</sup> Tier Subcontractor: Signature: Title:				Date					
							-		



# SUBCONTRACT APPROVAL FORM ATTACHMENT

Contract No.:	No.:		·	Subcontract Request Number:	equest Nu	mber.			
Line Code Number	Item Description	<b>.</b> 5 €	Sub or 2 <sup>nd</sup> Tier	Quantity	Curit	ე *	Contract Unit Price	Subcontract Unit Price	Total Subcontract Amount
			_						
						i			
	THE PARTY OF THE P								
	THE REPORT OF THE PARTY OF THE								
	-								
	The state of the s								
	THE REAL PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS						-		

Indicates a Partial Item (◆)

Form SAF-1 Additional 2nd Tier



# SUBCONTRACT APPROVAL FORM

nber:		CT TO:	Retainage DBE MBE WBE NONE	Retainage   TOBE   TMBE   WBE   TNONE	l	CP Contract Unit Subcontract Total Subcontract  * Amount						<b>SUBCONTRACT CERTIFICATION</b> (applies only to Federal projects)  The Contractor / Subcontractor certifies that the subcontract is in writing and that FHWA 1273, "Required Contract Provisions," have been included in the subcontract / 2 <sup>rd</sup> tier subcontract in its entirely.	APPROVED:	Resident Engineer Date	Approved with the understanding that the Contractor will be responsible for the satisfactory performance and completion of the work in compliance with the terms of the contract and that all payments will be made directly to the Contractor.	
equest Nur		IIS PROJĒ		Vendor No.	Vendor No.	Cait						273, "Requ	APPR		Apprirespo	
Subcontract Request Number:	County:	FOLLOWING ITEMS OF WORK ON THIS PROJECT TO:				Quantity					Indicates a Partial Item (◆)	that FHWA 1		Date	Date	Date
ı	ŀ	TEMS OF				Sub or 2 <sup>nd</sup> Tier					zates a Pai	cts) writing and				***************************************
		WING IT				€ ৳ €					Indic	ral projec act is in v				
F.A. No.:	T.I.P. No.:				Address	Item Description		The state of the s			m (●)	<b>SUBCONTRACT CERTIFICATION</b> (applies only to Federal projects) The Contractor / Subcontractor certifies that the subcontract is in writing the subcontract of the sub				
Contract No.:	WBS Element:	APPROVAL IS REQUESTED TO SUBLET THE	To The State of State		2" Tier Subcontractor Name and Address	Line Code Number					Indicates a Portion of the Item (•)	<b>SUBCONTRACT CERTIFICATION</b> (applies only to F The Contractor / Subcontractor certifies that the subcir the subcontract / 2 <sup>rd</sup> tier subcontract in its entirely.	Contractor:		Subcontractor: Signature: Title:	2 <sup>rd</sup> Tier Subcontractor: Signature: Title:

# PROTECTION VENICLE WITH TRUCK MOUNTED, INFO, ATTENDATION (THICK AND LIGHT BAR (BEE GOADBRY STANDARD NO. 1166.01). THICK NO. BE DORN-350 TEST (BVEL 3 (60-M PONTABLE SKWN, STONS MANGED BY NOWITH-350 AND NODOT APPROVED. DIRECTION OF TRAFFIC FLOW FLAMING ARROW PANEL, TYPE "B" (60"X50" RIF. "CALTION NODE" APPLICATION VEHICLE WITH LIGHT BAN LEGEND (1)(2)(3)(4)(8) = 200, (9) PROJECT LIMITS (14) IF A LEAD VEHICLE IS ADDED TO OPERATION, IT SHOULD HAVE THE SAME ADVANCE WARNING SIGNS AS THE APPLICATION VEHICLE SHOWN BELOW. (0)(0)(10) (7) ADJUST DISTANCE AS WEDGD TO PREVENT MOTORISTS FINAN ENTERING SPACE RETMEEN THE APPLICATION MONDO PARTICAL OF MANCE CAN BE LEGITHEMD TO ACCOMMONE STORT DISTANCE MEMBES. AES D (13) INFORMATIONAL SIGNS SHOULD BE ACTIVITY SPECIFIC, 1.e. THANDS: SHAPE "PALITY CHEFF IN TRADS": SIGNS MAY BE RECTAMBLAH OF DIAMOND SHAPE SIGNS SIZE SELULD BE BASED ON THE MOTORIST ABILLITY TO RECOGNIZE SIGN WHEN TRAVELING FIVE (5) WILES ABOVE POSTED SPEED LIMIT. Ê ¥ ALL TRAFFIC CONTROL DEVICES WILL BE CONSIDERED INCIDENTAL TO THE PAY ITEMS FOR PAYEMENT MARKING AND MARKERS. KOUND UP WILEAGE TO MEXT WMOLE WILE, WORK ZOME SHOULD NOT EXCERD FIVE (5) MILES IN LEWGTH. (11) IF WORK IS PERFORMED AT NIGHT, THE WORK AREA MUST BE ILLUMINATED HITH MACHINE AND/OR TOWER LIGHTS AS APPROVED BY THE EMOTHERM. MOVING (8) RADIO COMMUNICATION BETWEEN VEHICLES IS REQUIRED (10) USE OF A LIGHT BAR ON ALL VEHICLES IS PREFERRED. BUT A ROTATING BEADON MAY BE USED INSTEAD. SLOW (5)(3) 800 +/- (7) W34-185\* (8)(8)(10) FREJECT LIBITE 500' (5) 強力量 BIGN SHOULD BE ATTACHED TO THE FRONT OF THE APPLICATION VEHICLE GENERAL NOTES . **L** ê 12 W21.480 ADDITIONAL VEHICLES SHOULD BE USED IN MORK CARAVAN TO FACILITATE DOYING DF PANEMENT MARKING MATERIAL (TMIA'S ARE OFTIONAL DM THESE ADDITIONAL VEHICLES). HOWEVER, THE FIRST VEHICLE MOTORISTS SEE IN THE TRANSL LANE SHALL HAVE A TWIA. 3 (1) THE FOLLOWING OPTIONS MAY BE USED FOR ADVANCE WARNING SIGNS: ALL ADVANCE WARNING SIGNS MUST BE 48" X 48" WITH FLUORESCENT ORANGE TYPE VII. VIII OR IX SHEETING. IF SPACE LIWITATIONS ON SHOULDER PROHIBIT A 48" X 48" BION, A 84FLER SIGN CAN BE USED WITH APPROVAL FROM ENGINEEN. SIGN SPACING SMOULD BE ADJUSTED FOR HORIZONTAL AND VENTICAL CANVES, ETC., TO IMPROVE SIGNT DISTANCES. SIGNE ON VEHICLES SHOULD BE MOUNTED A MENTHER OF ONE (1) FOOT FROM THE GREGOOD AND SHOULD AND BLOCK THE MITURIST'S SIGNIT OF THE FLAGHING AND PANEL AND/OR LIGHTBAN ORGUND MOUNTED ADVANCED WARNING BIGHTS SHOULD BE MOUNTED A NINIMAM OF ONE (1) FOOT FHOW THE GROUND TO BOTTON OF BIGHT. 뚱 A. TRUCK BOUNTED STONS B. TRUCK BOUNTED CHANGEABLE MESSAGE STON (COS) C. GROUND BOUNTED ADVANCE WARNING STONS (BACK CIRCLE TO PICK UP STONS) D. GACHAN MOUNTED CHANGEABLE MESSAGE STON (CMS) (MAST USE CIRCLE TO PICK UP STONS) (1)(5)(6)(8) i I I BAIN ROADMAY WORK 2005 Y- LINES CHEW CHEW 1.000 (1)(8)(9)(4)

ଉ

9 €

<u>@</u> 9

CARAVAN 3 MPH OR FASTER) G OR MARI ARKING PLACING PAVEMENT MARKING ON TWO-LANE TWO-WAY (OPERATIONS TRAVELING OPERA MOVING

# North Carolina Department of Transportation BID FORM

Contract Number: 9CR.20291.9

DESCRIPTION: Old Lexinton Road (SR 1706) from Gumtree Road (SR 1711) to pavement joint at Barnes

Road (SR 2758) at Forsyth County Line

Item No.	Sect. No.	ROADWAY ITEMS	Quantity	Unit	UNIT PRICE	PRICE
1	800	MOBILIZATION	1	LS		
2	610	SURFACE COURSE, S9.5B	2,639	TONS		
3	SP	LEVELING COURSE, S9.5B	1,756	TONS		
4	SP	ASPHALT BINDER FOR PLANT MIX (PG 64-22)	272	TONS		
5	858	ADJ. OF METER OR VALVE BOX	14	EA		
6	1205	PAINT PAVEMENT MARKING LINES (4")	46,464	LF		
* The contractor shall note that all quantities are used only for figuring the lowest responsible bidders. The quantities may vary as directed by the Engineer.						
TOTAL BID FOR PROJECT :						
CONTRACTOR						
ADDRESS						
Federal Identification Number			Contractor's License Number			
Authorized Agent			Title			
Signature			Date			
Witness			Title			
Signature			Date			
THIS SECTION TO BE COMPLETED BY NORTH CAROLINA DEPARTMENT OF TRANSPORTATION						
This bid has been reviewed in accordance with Article 103-1 of the Standard Specifications for Roads and Structures 2006.						
Reviewed by						(date)
Accepted by NCDOT			Engineer			(date)